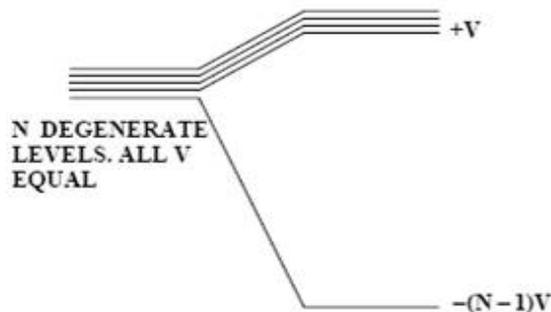


Development of collective behavior in nuclei

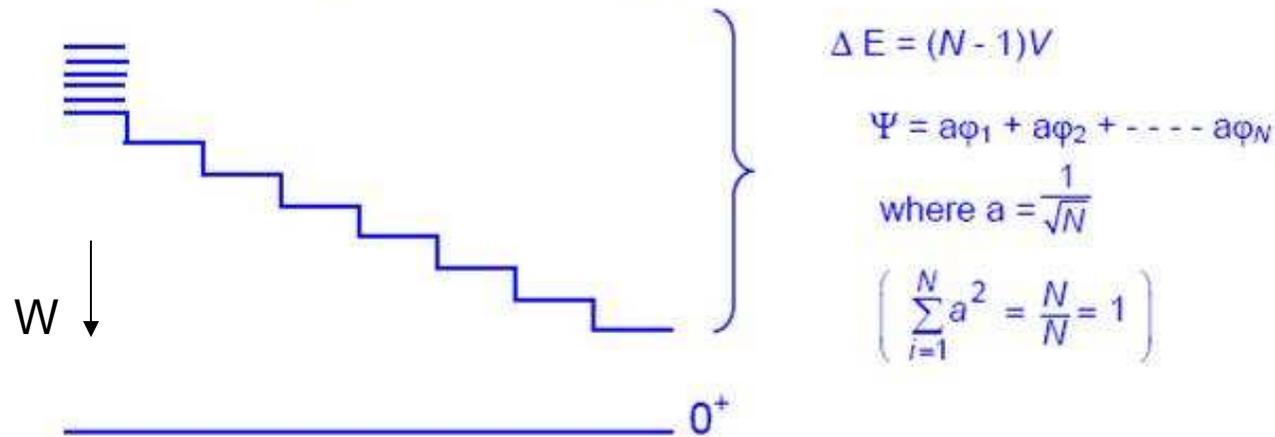
- Results primarily from correlations among valence nucleons.
- Instead of pure “shell model” configurations, the wave functions are mixed – linear combinations of many components.
- Leads to a lowering of the collective states and to enhanced characteristic signatures.



$$\Psi_{\text{LOWEST}} = \frac{1}{\sqrt{N}}[\phi_1 + \phi_2 + \dots + \phi_N]$$

Coherence and Transition Rates

Consider simple case of N degenerate levels: 2^+



Consider transition rate from $2_1^+ \rightarrow 0_1^+$

$$B(E2; 2_1^+ \rightarrow 0_1^+) = \frac{1}{2J_i + 1} \left\langle 0_1^+ \parallel E2 \parallel 2_1^+ \right\rangle^2$$

$$\left\langle 0_1^+ \parallel E2 \parallel 2_1^+ \right\rangle = \left\langle 0_1^+ \parallel E2 \parallel \Psi \right\rangle = a \sum_{i=1}^N \left\langle 0_1^+ \parallel E2 \parallel \phi_i \right\rangle$$

The more configurations that mix, the stronger the $B(E2)$ value and the lower the energy of the collective state.

Fundamental property of collective states.

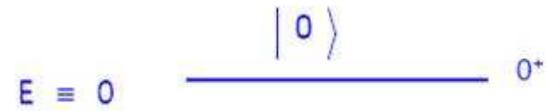
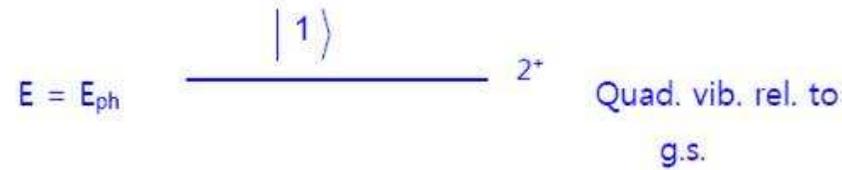
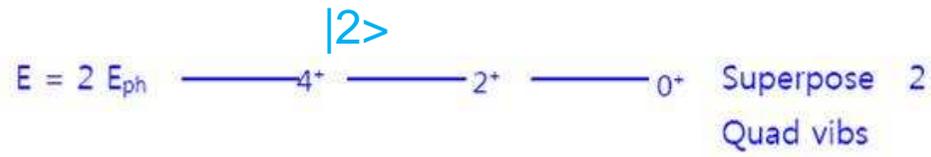
Low Lying



Quadrupole Vibrations

Multiphonon States

Angular Momentum 2^+



Phonon creation and destruction operators

Quadrupole
case

$b_{2\mu}, b_{2\mu}^\dagger$ (drop "2 μ ")

$|n_b\rangle \equiv$
state with
 n_b phonons

$$b |n_b\rangle = \sqrt{n_b} |n_b - 1\rangle$$

$$b^\dagger |n_b\rangle = \sqrt{n_b + 1} |n_b + 1\rangle$$

$$b |0\rangle = 0$$

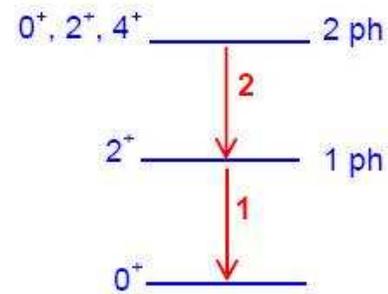
$$b^\dagger |0\rangle = |n_b = 1\rangle = \Psi_{1 \text{ phonon}}$$

$b^\dagger b =$ number operator—counts n_b :

$$b^\dagger b |n_b\rangle = b^\dagger \sqrt{n_b} |n_b - 1\rangle = \sqrt{n_b} \sqrt{(n_b - 1) + 1} |n_b\rangle$$

$$b^\dagger b |n_b\rangle = n_b |n_b\rangle$$

Electromagnetic Transitions in the phonon model



$E2$ operator is proportional to the annihilation operator, b , for a phonon.

$$\begin{aligned} \langle n_f | b | n_i \rangle &= \langle n_f | \sqrt{n_i} | n_i - 1 \rangle \\ &= \sqrt{n_i} \langle n_f | n_i - 1 \rangle \\ &= \sqrt{n_i} \delta_{n_f, n_i - 1} \end{aligned}$$

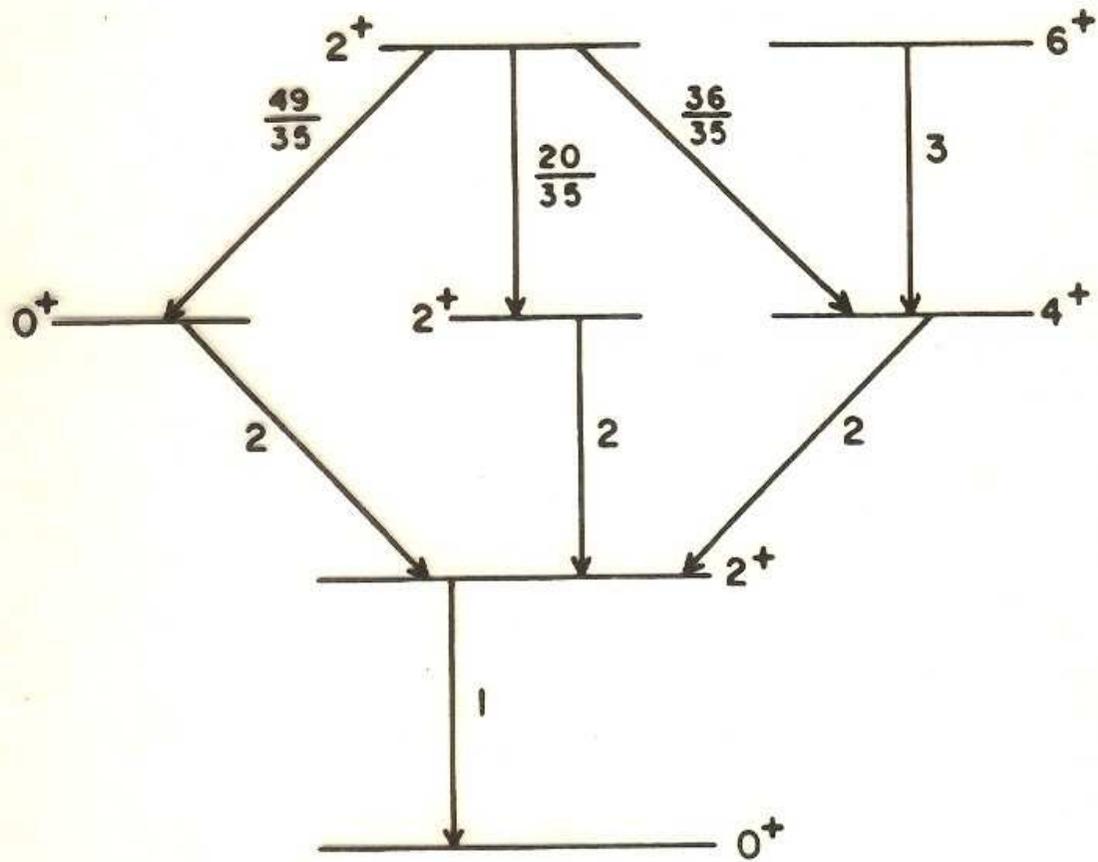
a) $E2$ transition probability

$$[\propto \langle || | \rangle^2] \propto n_i$$

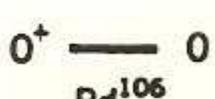
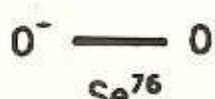
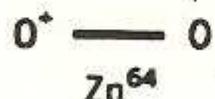
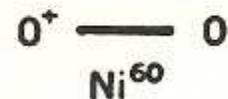
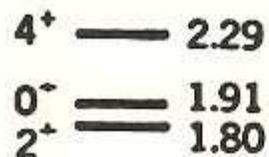
b) Selection rule $\Delta n = 1$

c) Branching ratio $\frac{B(E2; n = 2 \rightarrow n = 1)}{B(E2; n = 1 \rightarrow n = 0)} = 2$

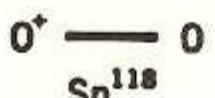
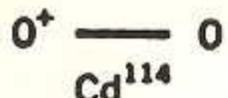
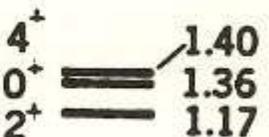
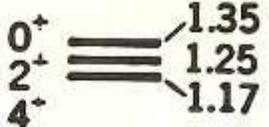
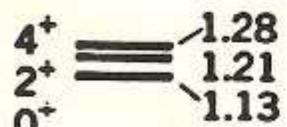
d) $B(E2; n = 2 \rightarrow 0^+ \text{ g.s.}) = 0$ --- forbidden



B(E2) VALUES FOR DECAY OF
MULTI-PHONON STATES



V ~
C2β2

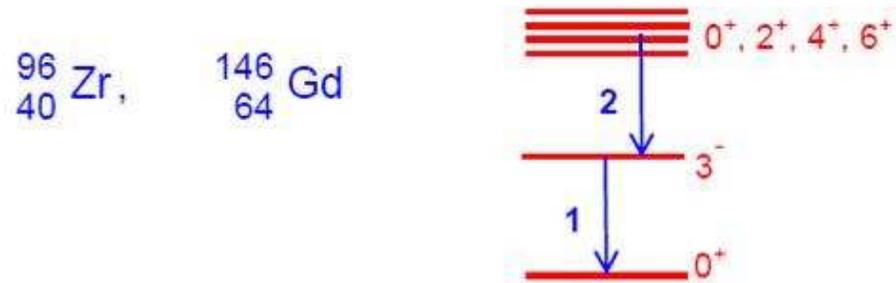


Octupole Vibrations

3^-

2-phonon $3^- \otimes 3^- \Rightarrow J = 0^+, 2^+, 4^+, 6^+$

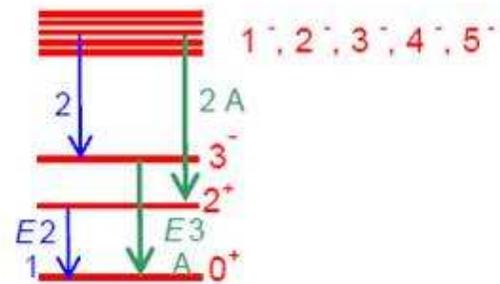
A few examples beginning to be known



Multi-phonon

Octupole – Quadrupole

$3^- \otimes 2^+$

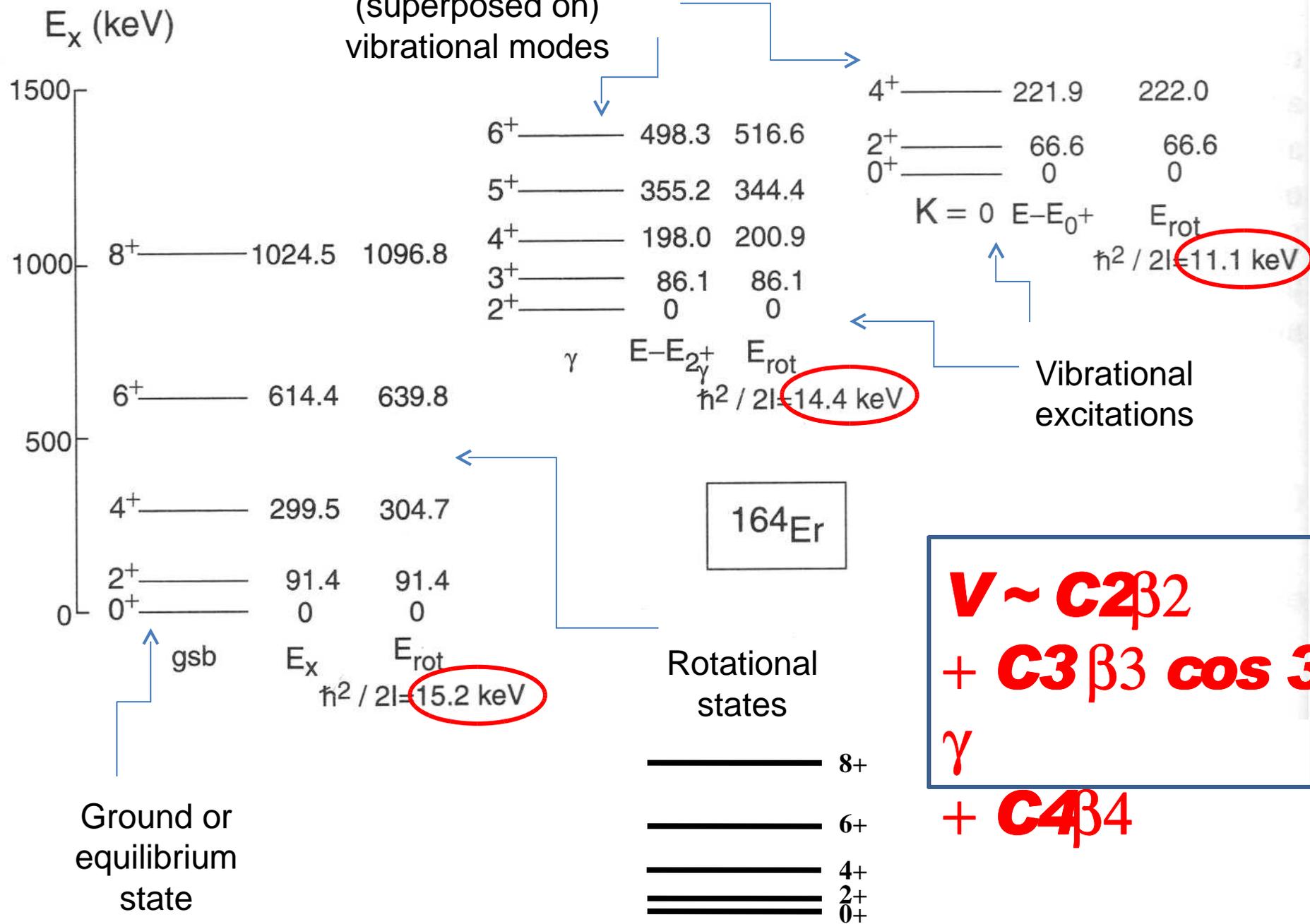


Deformed, ellipsoidal, rotational nuclei

Lets look at a typical example
and see the various aspects of
structure it shows

Axially symmetric case
Axial asymmetry

Rotational states built on
(superposed on)
vibrational modes



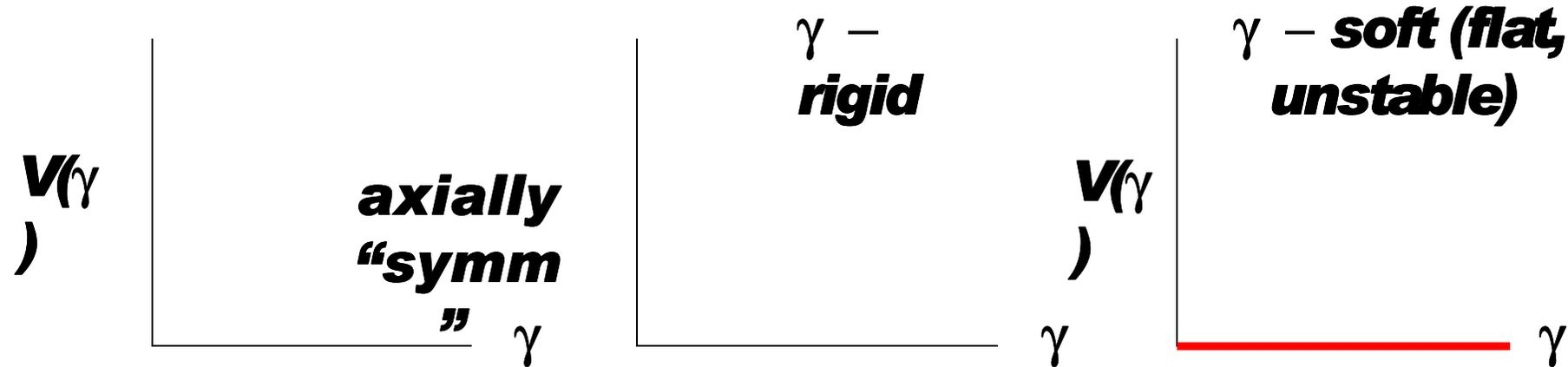
Ground or
equilibrium
state

$$V \sim C_2 \beta^2 + C_3 \beta^3 \cos 3\gamma + C_4 \beta^4$$

Axial asymmetry (Triaxiality)

(Specified in terms of the coordinate γ (in degrees), either from 0 \rightarrow 60 or from -30 \rightarrow +30 degrees – zero degrees is axially

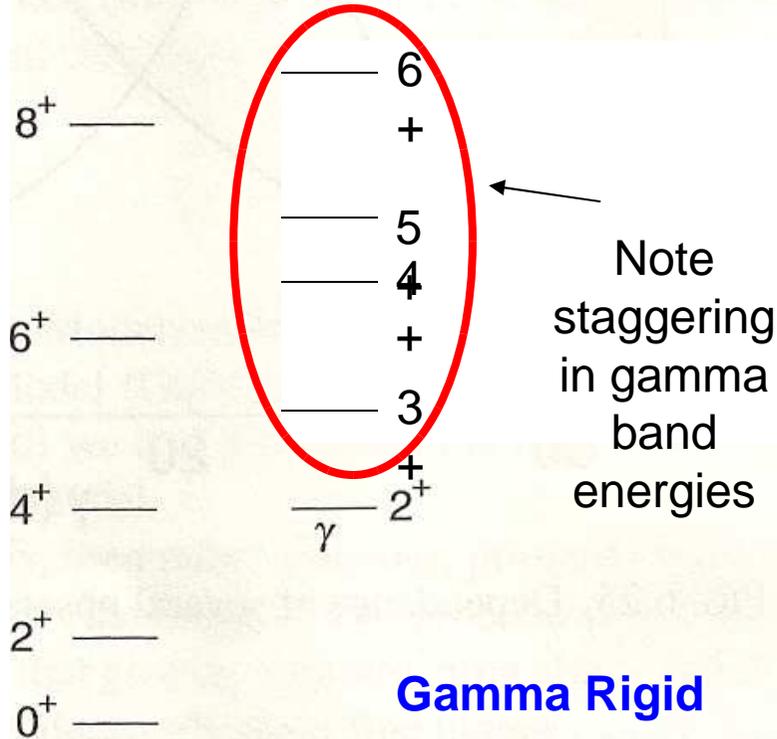
symmetric)



$C3 =$
 $V \sim C2\beta^2 + C3c\beta^3 +$

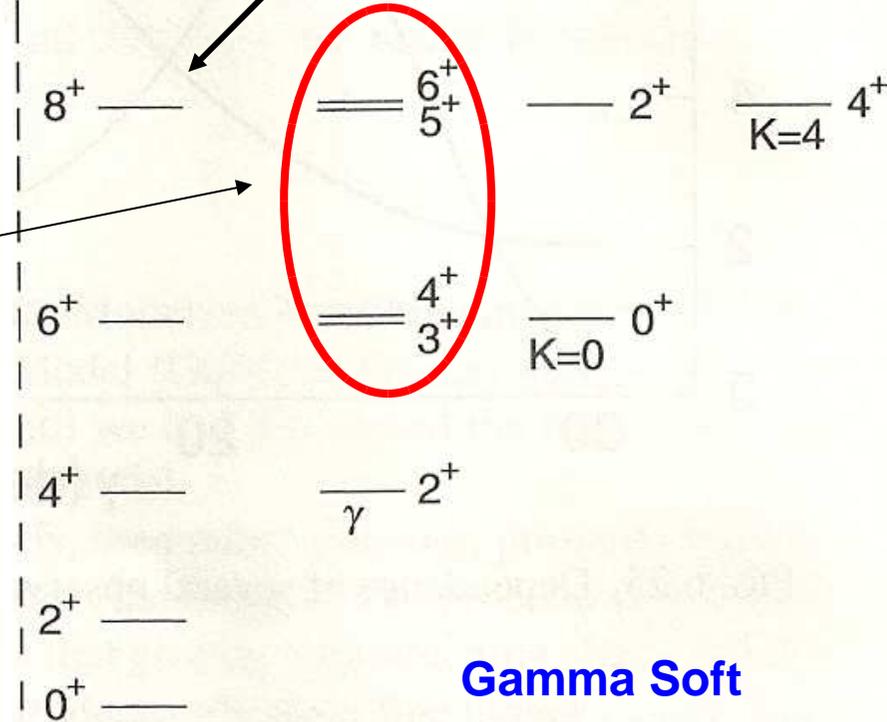
$C4\beta^4$
Note: for axially symm. deformed nuclei, MUST have

Axial Asymmetry in Nuclei – two types



BAND STRUCTURE

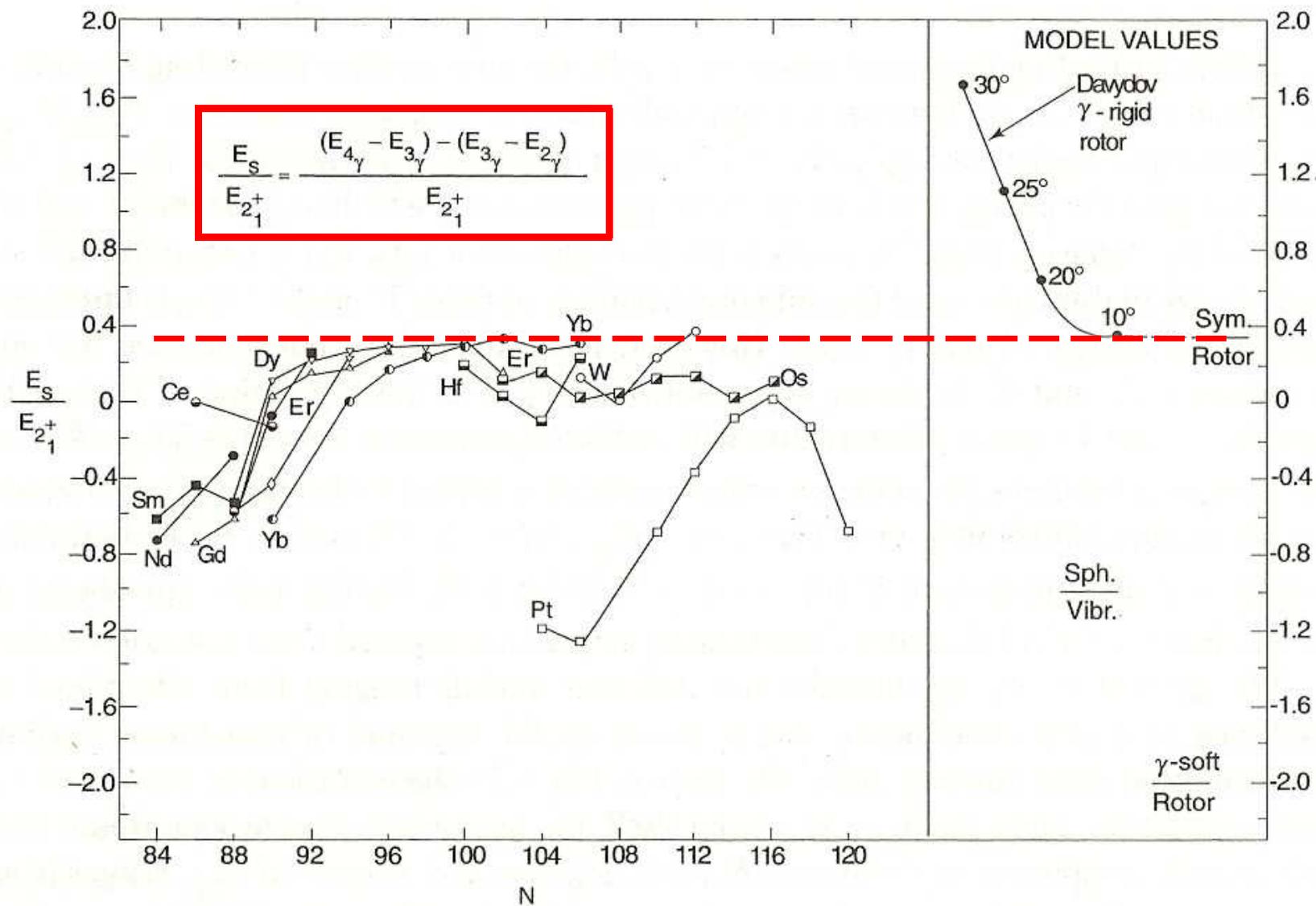
$$E \sim \Lambda(\Lambda + 3) \sim J(J + 6)$$



BAND STRUCTURE

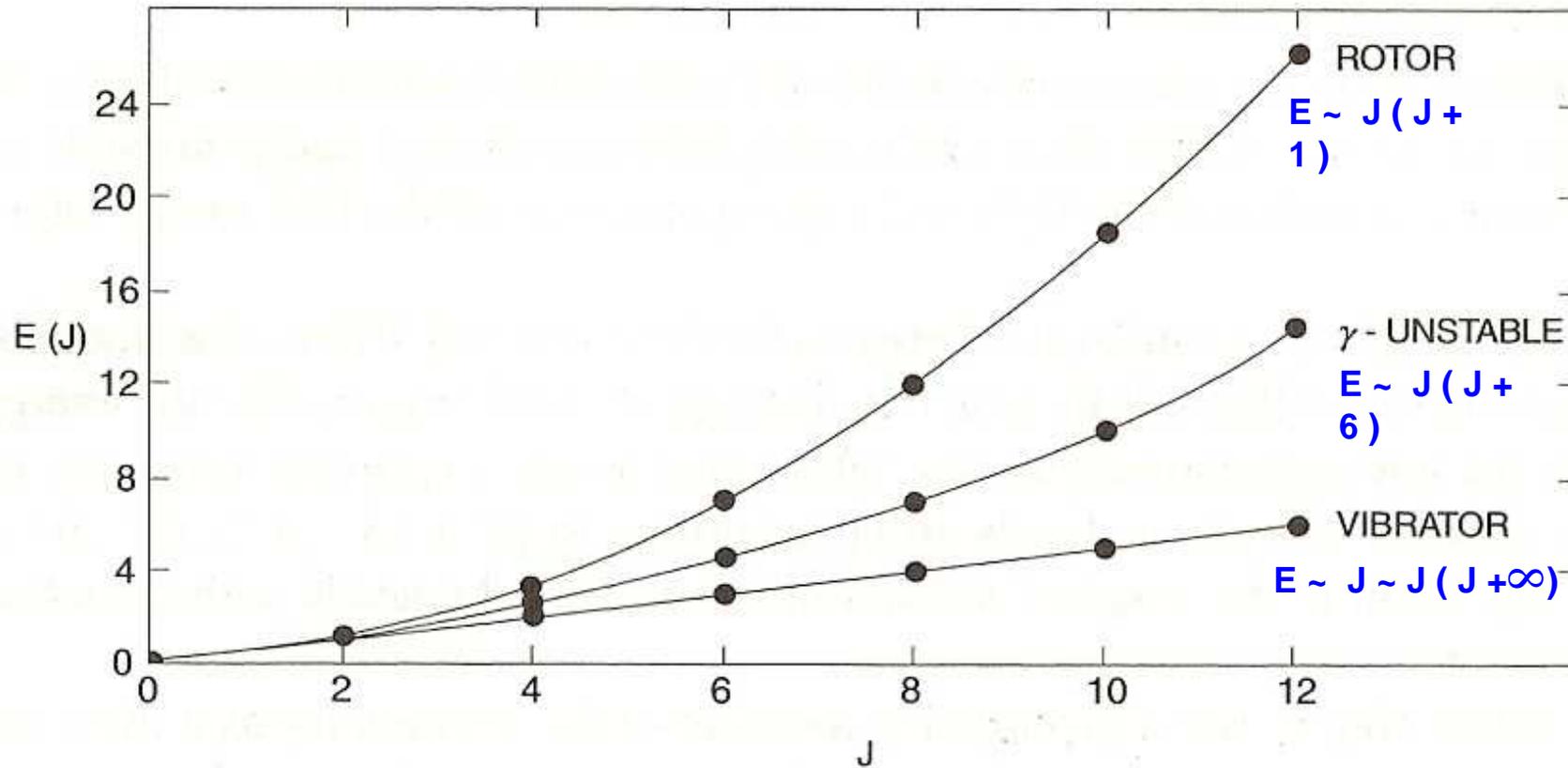
Wilets-Jean, Gamma unstable

Use staggering in gamma band energies as signature for the kind of axial asymmetry



Overview of yrast energies

Can express energies as $E \sim J (J + X)$



Now that we know some simple models of atomic nuclei, how do we know where each of these structures will appear? How does structure vary with Z and N ? What do we know?

- **Near closed shells nuclei are spherical and can be described in terms of a few shell model configurations.**
- **As valence nucleons are added, configuration mixing, collectivity and, eventually, deformation develop. Nuclei near mid-shell are collective and deformed.**
- **The driver of this evolution is a competition between the pairing force and the p-n interaction, both primarily acting on the valence nucleons.**

Estimating the properties of nuclei

We know that ^{134}Te (52, 82) is spherical and non-collective.

We know that ^{170}Dy (66, 104) is doubly mid-shell and very collective.

What about:

^{156}Te (52, 104) ^{156}Gd (64, 92) ^{184}Pt (78, 106) ???

All have 24 valence nucleons. What are their relative structures ???

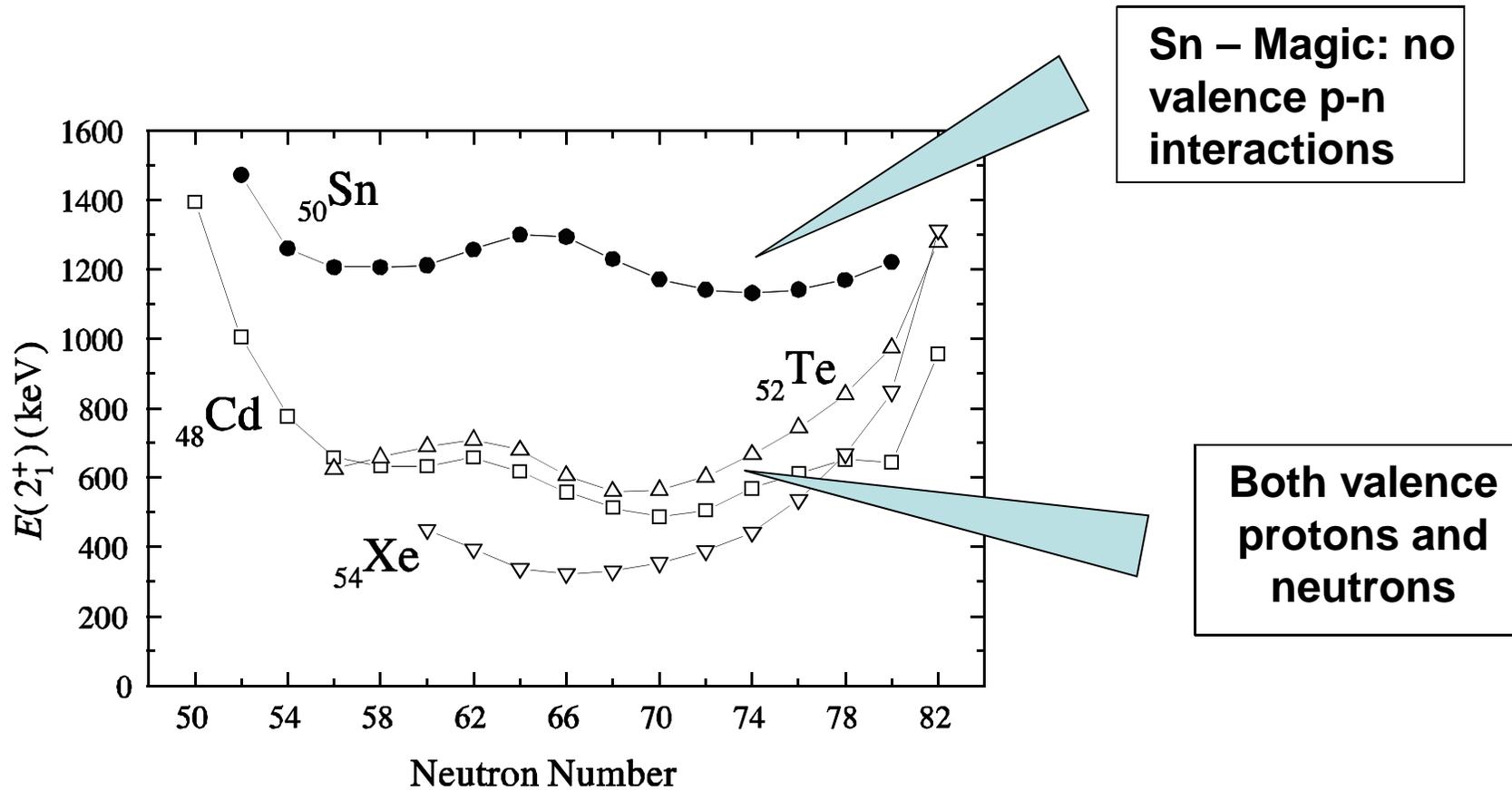
Valence Proton-Neutron Interaction

**Development of configuration mixing,
collectivity and deformation – competition
with pairing**

**Changes in single particle energies and
magic numbers**

**Partial history: Goldhaber and de Shalit (1953); Talmi (1962);
Federman and Pittel (late 1970's); Casten et al (1981); Heyde et al
(1980's); Nazarewicz, Dobaczewski et al (1980's); Otsuka et al (2000's);
Cakirli et al (2000's); and many others.**

The idea of “both” types of nucleons – the p-n interaction



If p-n interactions drive configuration mixing, collectivity and deformation, perhaps they can be exploited to understand the evolution of structure.

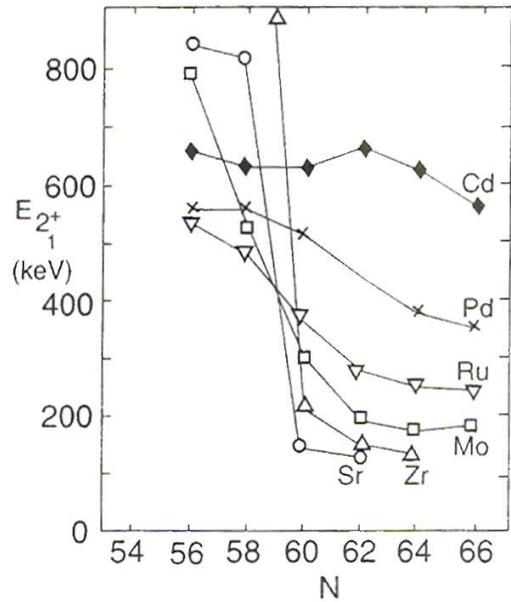
Lets assume, just to play with an idea, that all p-n interactions have the same strength. This is not realistic since the interaction strength depends on the orbits the particles occupy, but, maybe, on average, it might be OK.

How many valence p-n interactions are there? $N_p \times N_n$
If all are equal then the integrated p-n strength should scale with $N_p \times N_n$

The $N_p N_n$ Scheme

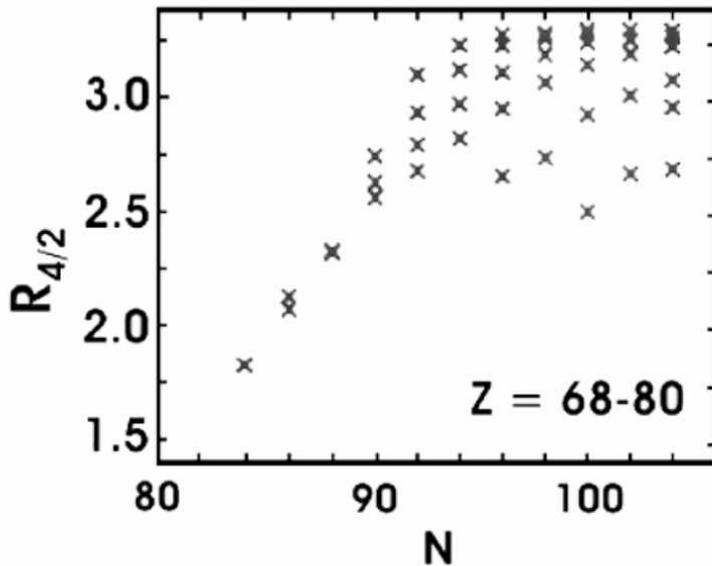
Valence Proton-Neutron Interactions

Correlations, collectivity, deformation. Sensitive to magic numbers.

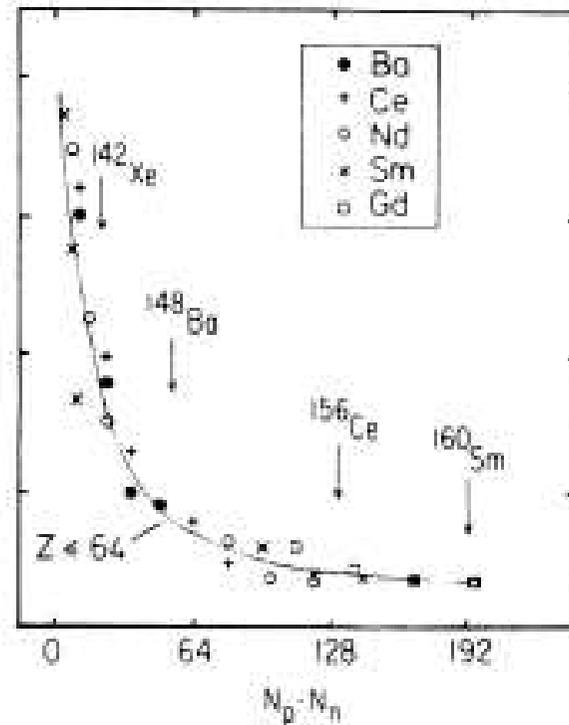
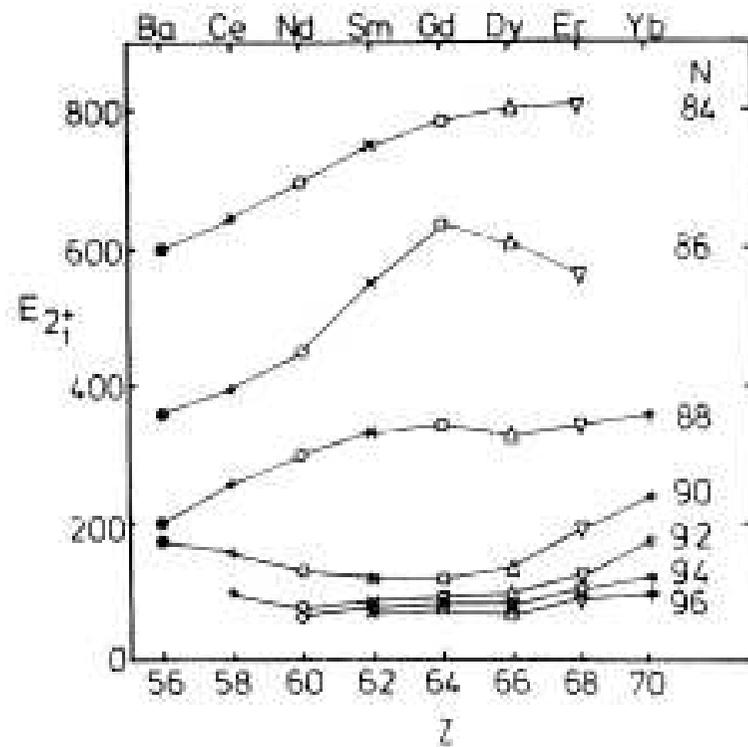


NpNn Scheme

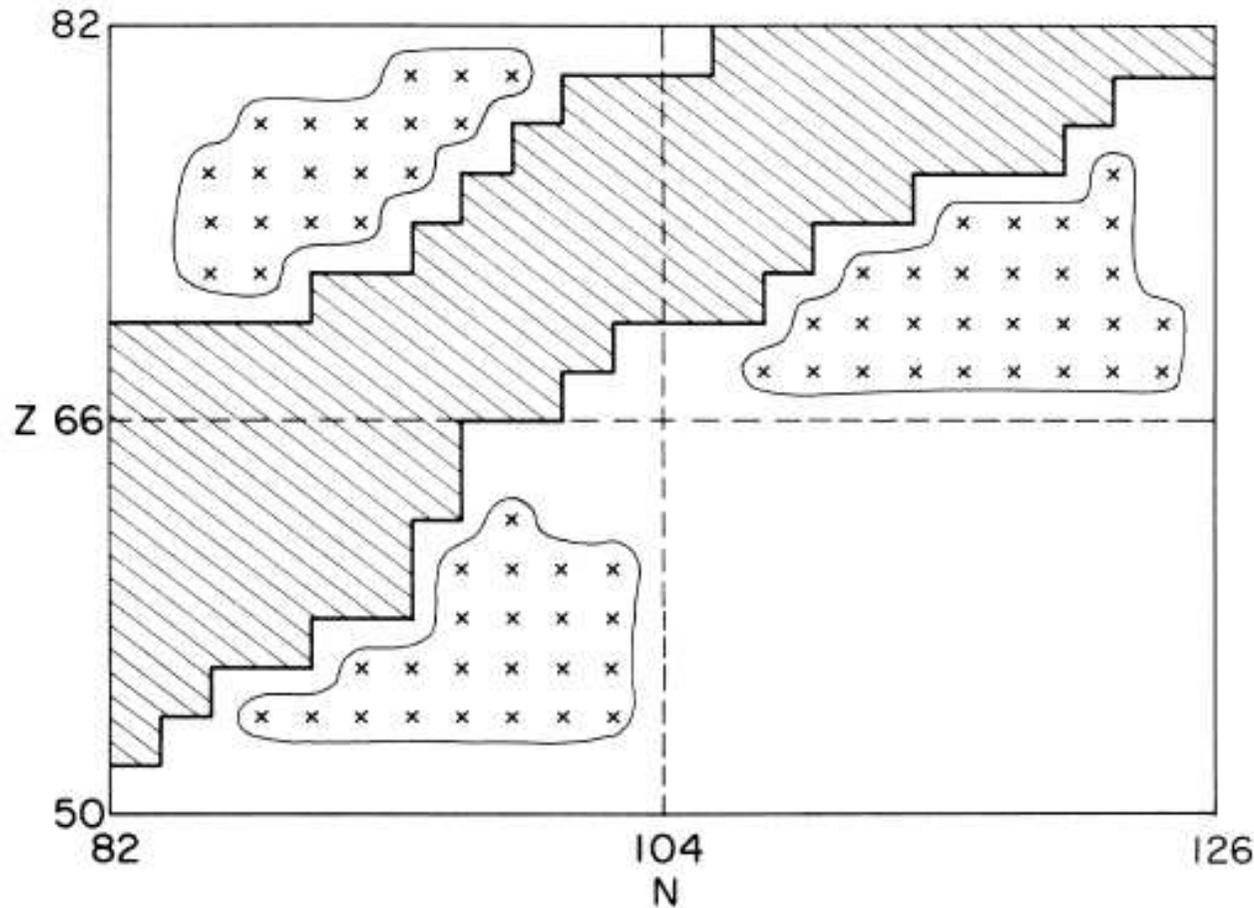
$P = NpNn / (Np + Nn)$
p-n interactions per pairing interaction



The NpNn scheme: Interpolation vs. Extrapolation



Predicting new nuclei with the NpNn Scheme



All the nuclei marked with x's can be predicted by INTERpolation

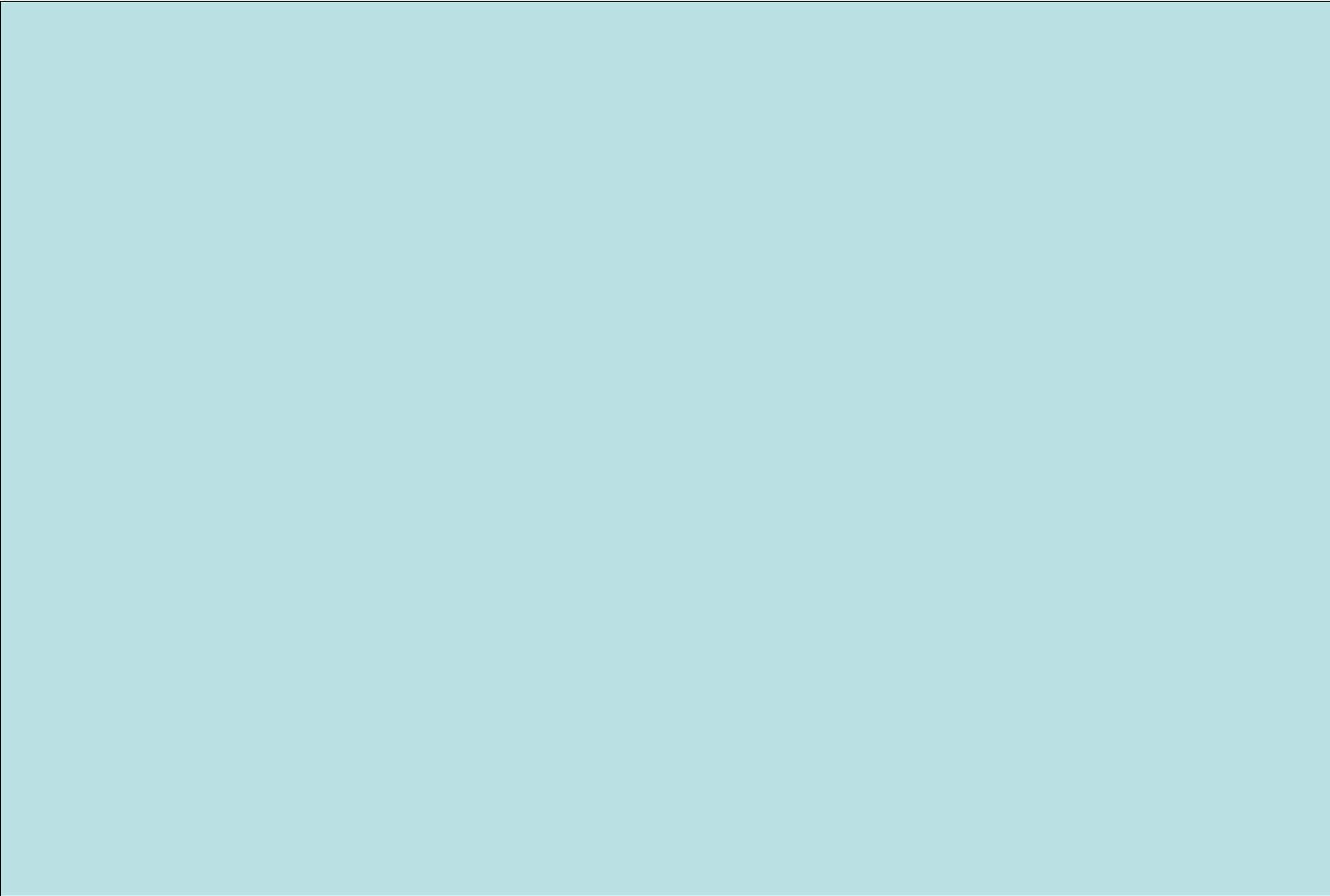
Competition between pairing and the p-n interactions

A simple microscopic guide to the evolution of structure

**(The next slides allow you to estimate the structure of
any nucleus by multiplying and dividing two numbers
each less than 30)**

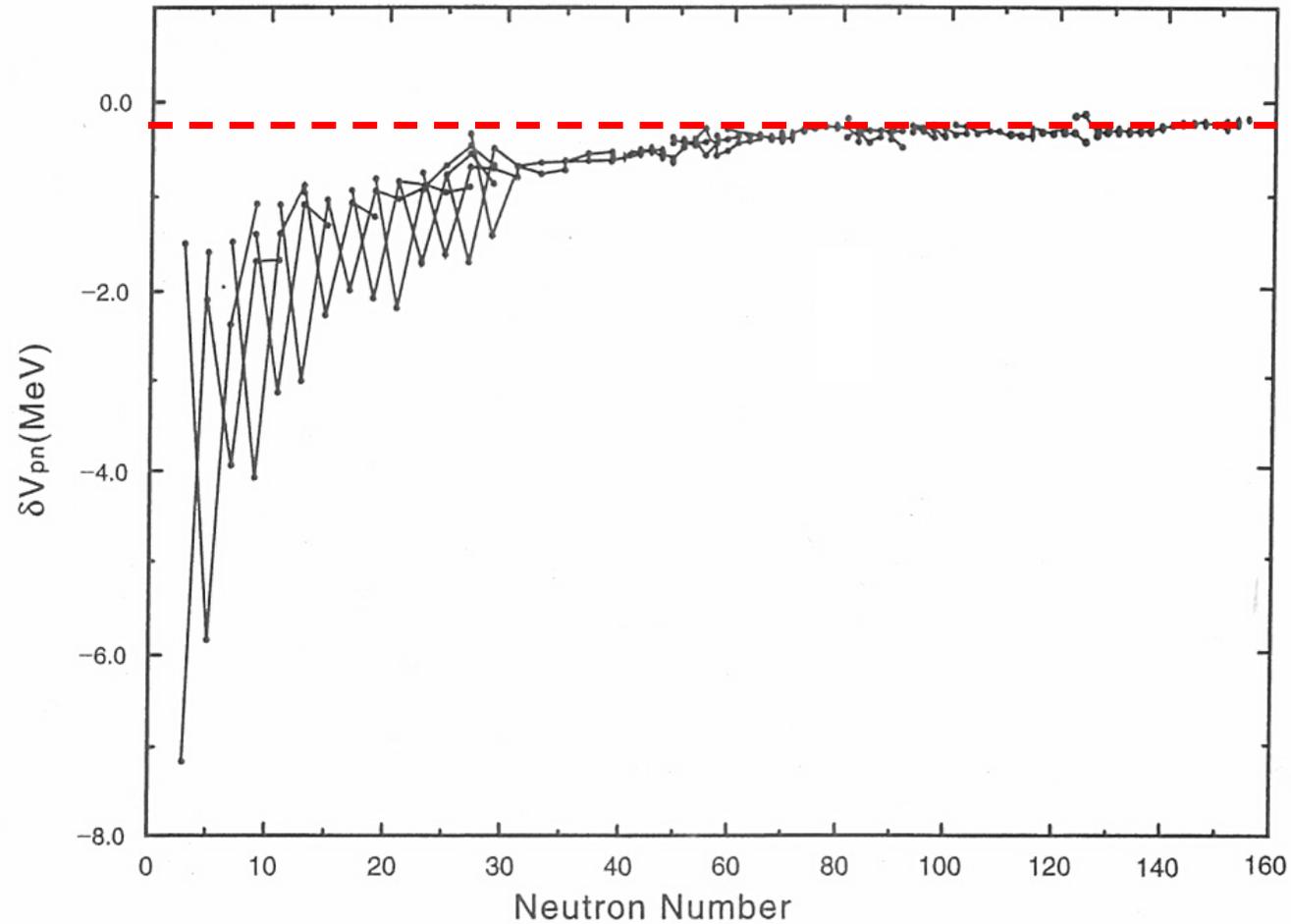
**(or, if you prefer, you can get the same result from 10 hours of
supercomputer time)**

Valence p-n interaction: Can we measure it?



Empirical interactions of the last proton with the last neutron

$$\square V_{pn}(Z, N) = -\frac{1}{4}\{[B(Z, N) - B(Z, N - 2)] \\ - [B(Z - 2, N) - B(Z - 2, N - 2)]\}$$



p-n / pairing

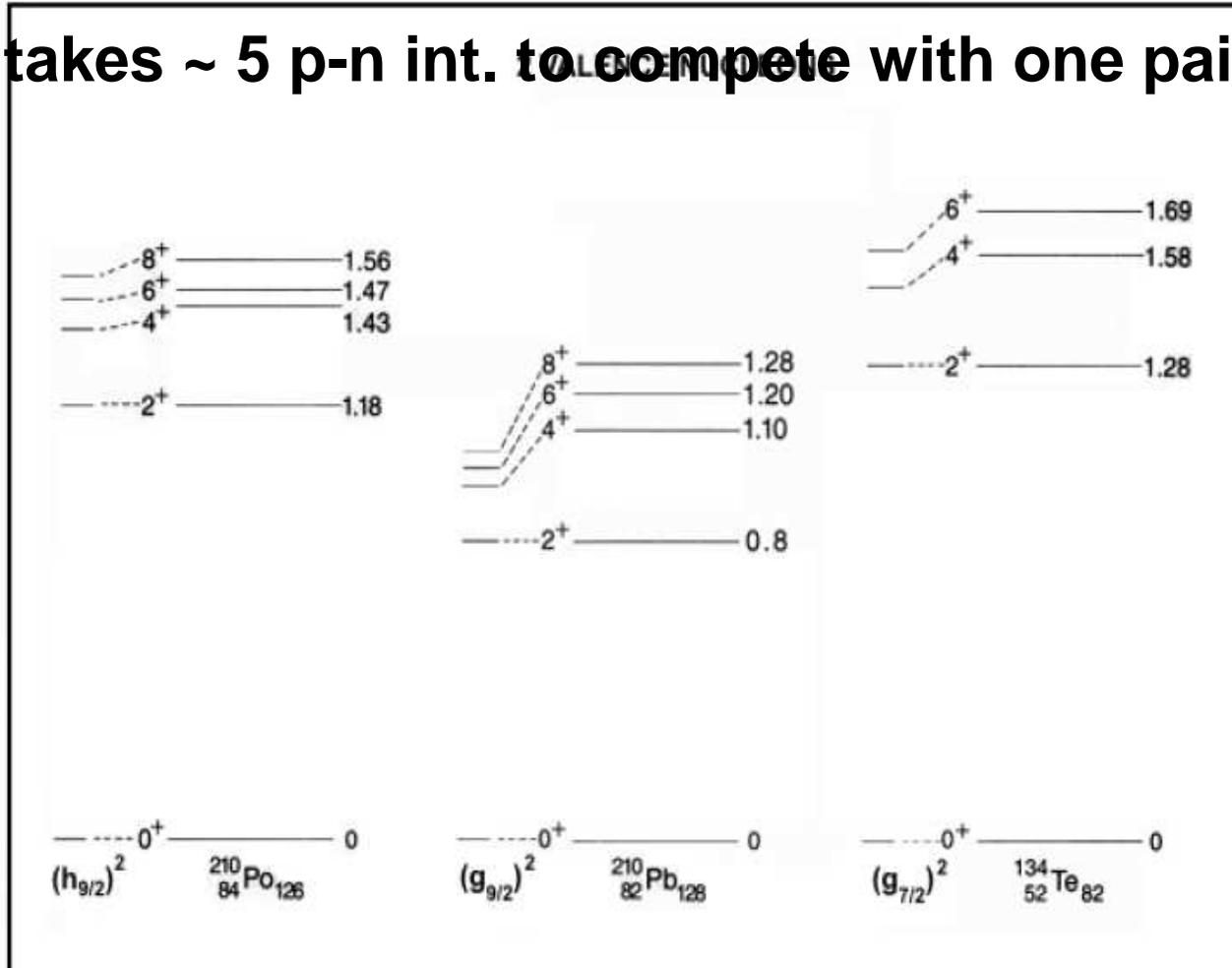
$$P = \frac{Np}{Np + Nn} \approx \frac{p}{n}$$

pairing

p-n interactions per pairing interaction

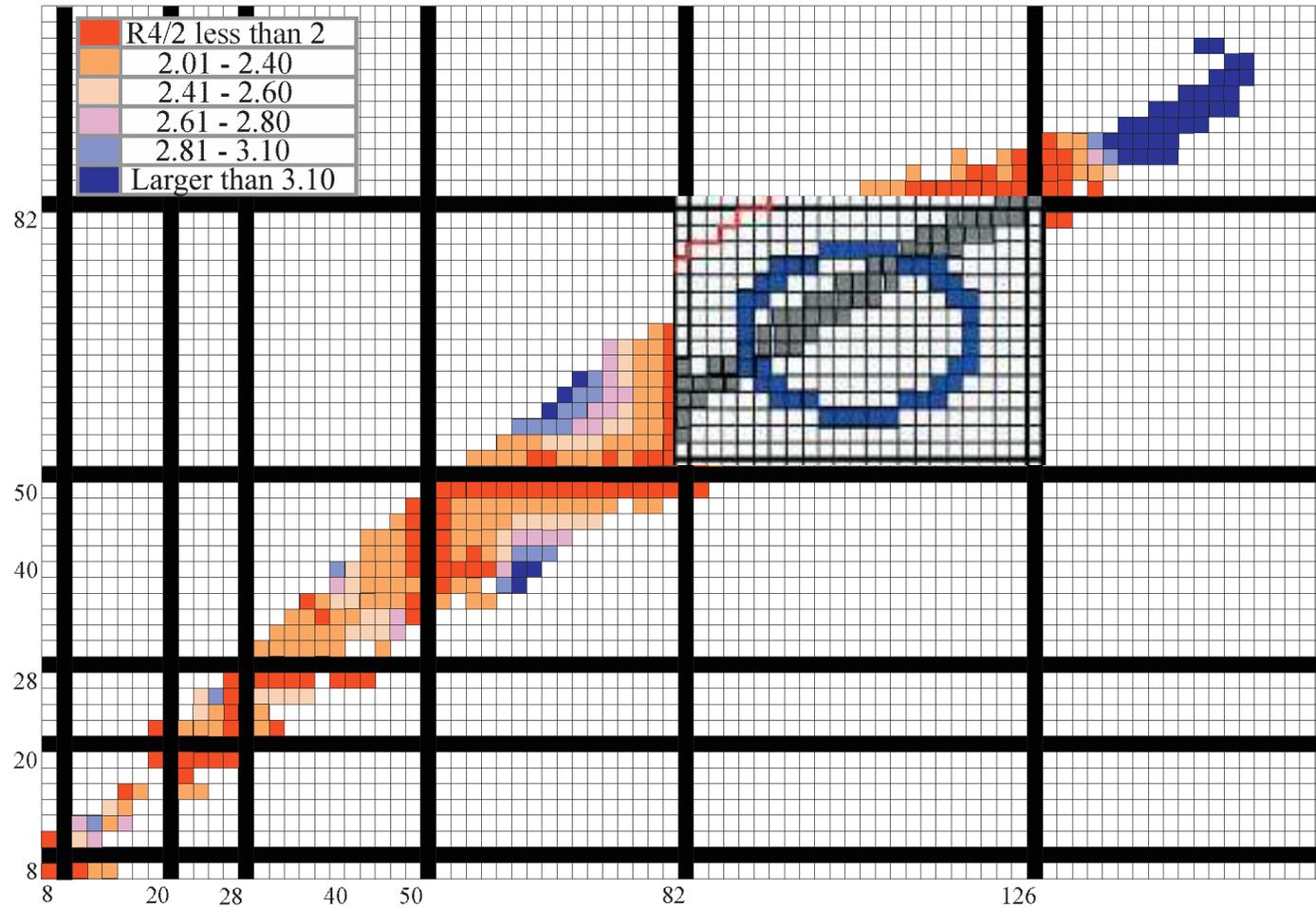
Pairing int. ~ 1 MeV, p-n ~ 200 keV

Hence takes ~ 5 p-n int. to compete with one pairing int.



P ~ 5

Comparison with the data



The Interacting Boson Approximation Model

A very simple phenomenological model, that can be extremely parameter-efficient, for collective structures

- Why the IBA
- Basic ideas about the IBA, including a primer on its Group Theory basis
- The Dynamical Symmetries of the IBA
- Practical calculations with the IBA

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IBA – A Review and Practical Tutorial

F. Iachello and A. Arima

Drastic simplification of shell model

- Valence nucleons
- Only certain configurations
- Simple Hamiltonian – interactions

“Boson” model because it treats nucleons in pairs



2 fermions

boson

The Need for Simplification in Multiparticle Spectra

Example: How many 2+ states?

nucl.

$$\begin{array}{l}
 2 \quad d_{5/2}^2 \quad 1 \\
 4 \quad d_{5/2} g_{7/2} \quad \geq 7
 \end{array}
 \left| d_{5/2}^2 J=2, g_{7/2}^2 J=0 \right\rangle, \left| d_{5/2}^2 J=0, g_{7/2}^2 J=2 \right\rangle$$

$$\left| d_{5/2}^2 J=4, g_{7/2}^2 J=2; J=2 \right\rangle,$$

$$\left| d_{5/2}^2 J=2, g_{7/2}^2 J=4; J=2 \right\rangle,$$

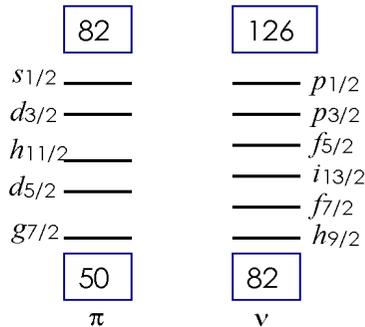
$$\left| d_{5/2}^2 J=4, g_{7/2}^2 J=6; J=2 \right\rangle,$$

$$\left| d_{5/2} g_{7/2} J=1, d_{5/2} g_{7/2} J=1; J=2 \right\rangle,$$

$$\left| d_{5/2}^2 J=4, g_{7/2}^2 J=4; J=2 \right\rangle.$$

$^{154}_{62}\text{Sm}_{92}$
 cl. sh. 50 82
 $N_p = 12 \quad N_n = 10$

12 val. π in 50 – 82
 10 val. ν in 82 – 126



How many 2+ states subject to Pauli Principle limits?



^{154}Sm 2+ states within the valence shell space

Why do we need to simplify – why not just calculate with the Shell Model????

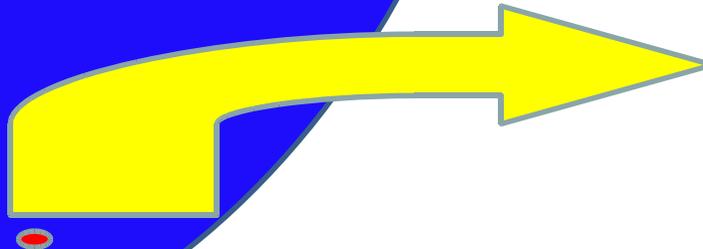
Shell Model Configurations

**Fermion
configurations**

**Roughly, gazillions !!
Need to simplify**

The IBA

**Boson
configurations
(by considering
only
configurations
of pairs of
fermions with
 $J = 0$ or 2 .)**



IBM Assume

valence fermions couple in pairs to bosons of spins 0+ and 2+

0+ s-boson

2+ d-boson

s boson is like a Cooper pair

d boson is like a generalized pair

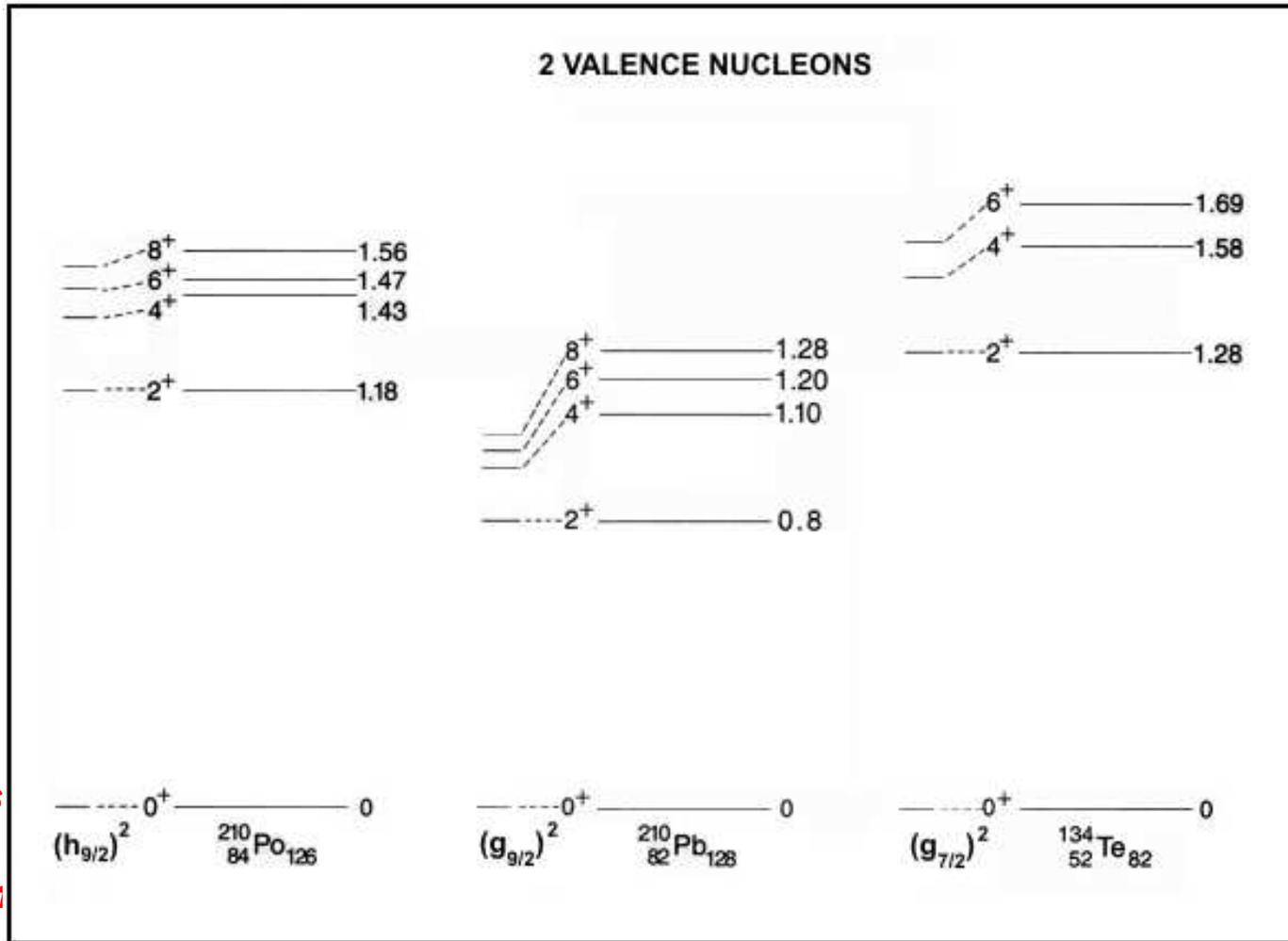
-
- Valence nucleons only
 - s, d bosons – creation and destruction operators

$$H = H_s + H_d + H_{\text{interactions}}$$

$$\text{Number of bosons fixed: } N = n_s + n_d$$

$$= \frac{1}{2} \# \text{ of val protons} + \frac{1}{2} \# \text{ val neutrons}$$

Why s, d bosons?



Lowest

non-magic

s

n

always 2+

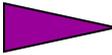
☐ - fct gives 0^+ ground state

☐ - fct gives 2^+ next above 0^+

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Modeling a Nucleus

Why the IBA is the best thing since baseball, a jacket potato, aceto balsamico, Mt. Blanc, raclette, pfannekuchen, baklava,

154S  Shell model  3×10^{14} 2+ states
m

Need to truncate

IBA

1. **Only valence nucleons**
assumptions

2. **Fermions** → **bosons**

$J = 0$ (*s* bosons)

$J = 2$ (*d* bosons)



Is it conceivable that these 26 basis states are correctly chosen to account for the properties of the low lying collective states?

IBA: 26 2+ states

Why the IBA ??????

- Why a model with such a drastic simplification – Oversimplification ???
- Answer: Because it works **!!!!**
- ***By far the most successful general nuclear collective model for nuclei***
- ***Extremely parameter-economic***

Note key point:



Bosons in IBA are pairs of fermions in **valence** shell

Number of bosons for a given nucleus is **a fixed** number



$$N_{\square} = 6 \quad 5 = N_{\square}$$
$$\square \quad \mathbf{NB = 11}$$

Basically the IBA is a Hamiltonian written in terms of s and d bosons and their interactions. It is written in terms of boson creation and destruction operators.

Where the IBA fits in the pantheon of nuclear models

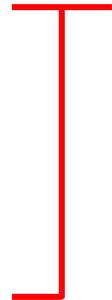
- Shell Model (Sph. Def.) - (Microscopic)

- Geometric – (Macroscopic)

- Third approach — “Algebraic”



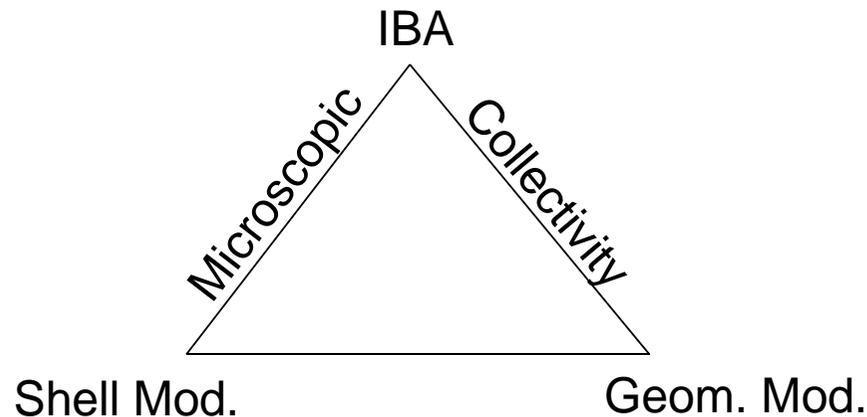
Group Theoretical



Dynamical Symmetries



Phonon-like model with microscopic basis explicit from the start.



IBA has a deep relation to Group theory

That relation is based on the operators that create, destroy s and d bosons

$s^\dagger, s, d^\dagger, d$
operators
Ang. Mom. 2

$d^\dagger_\square, d_\square \quad \square = 2, 1, 0, -1, -2$

Hamiltonian is written in terms of s, d operators

Since boson number is conserved for a given nucleus, H can only contain “bilinear” terms: 36 of them.

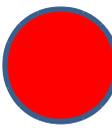
$s^\dagger s, s^\dagger d, d^\dagger s, d^\dagger d$



Gr. Theor.
classification
of
Hamiltonian

Group is
called

U(6)



Brief, simple, trip into the Group Theory of the IBA

DON'T BE SCARED

You do not need to understand all the details but try to get the idea of the relation of groups to degeneracies of levels and quantum numbers

A more intuitive name for this application of Group Theory is

“Spectrum Generating Algebras”

Review of phonon creation and destruction operators

$$\mathbf{b}|n_b\rangle = \sqrt{n_b} |n_b - 1\rangle$$

$$\mathbf{b}^\dagger |n_b\rangle = \sqrt{(n_b + 1)} |n_b + 1\rangle$$

What is a creation operator? Why useful?

A) Bookkeeping – makes calculations very simple.

B) “Ignorance operator”: We don’t know the structure of a phonon but, for many predictions, we don’t need to know its microscopic basis.

$$\mathbf{b}^\dagger \mathbf{b}|n_b\rangle = \mathbf{b}^\dagger \sqrt{n_b} |n_b - 1\rangle = \sqrt{n_b} \sqrt{(n_b - 1) + 1} |n_b\rangle = n_b |n_b\rangle$$

$\mathbf{b}^\dagger \mathbf{b}$ is a \mathbf{b} -phonon number operator.

For the IBA a boson is the same as a phonon – think of it as a collective excitation with ang. mom. 0 (s) or 2 (d).

Concepts of group theory

First, some fancy words with simple meanings:

Generators, Casimirs, Representations, conserved quantum numbers, degeneracy splitting

Generators of a group: Set of operators, O_i that close on

commutation, $[O_i, O_j] = O_i O_j - O_j O_i = O_k$ i.e., their commutator gives back 0 or a member of the set

For IBA, the 36 operators **$s^\dagger s, d^\dagger s, s^\dagger d, d^\dagger d$** are generators of

ex: $[d^\dagger s, s^\dagger s] |n_d n_s\rangle = (d^\dagger s s^\dagger s - s^\dagger s d^\dagger s) |n_d n_s\rangle$

$$= d^\dagger s n_s |n_d n_s\rangle - s^\dagger s d^\dagger s |n_d n_s\rangle$$

$$= (n_s - s^\dagger s) d^\dagger s |n_d n_s\rangle$$

e.g: $[N, s^\dagger \tilde{d}] \Psi = \left[\left(n_s - s^\dagger s \right) \frac{1}{\sqrt{n_d+1}} \frac{1}{\sqrt{n_s}} \left(s^\dagger \tilde{d} \right) - s^\dagger \tilde{d} N \right] \Psi$

$$= \sqrt{n_d+1} \frac{1}{\sqrt{n_s}} \left[n_s - (n_s - 1) \right] \frac{1}{\sqrt{n_d+1}} \frac{1}{\sqrt{n_s}} s^\dagger \tilde{d} \Psi$$

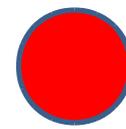
$$= \sqrt{n_d+1} \frac{1}{\sqrt{n_s}} \left[N s^\dagger \tilde{d} \Psi \right] - N s^\dagger \tilde{d} \Psi = 0$$

$$= d^\dagger s |n_d n_s\rangle$$

or: $[d^\dagger s, s^\dagger s] = d^\dagger s$

A **Hamiltonian** written solely in terms of Casimirs can be solved analytically

Sub-groups:



Subsets of generators that commute among themselves.

e.g: $d^\dagger d$ 25 generators—span $U(5)$

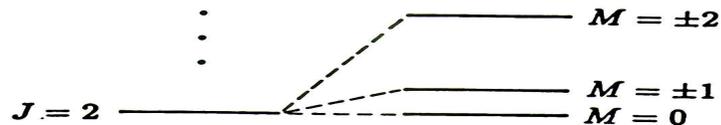
They conserve nd (# d bosons)

Set of states with same nd are the representations of the group [$U(5)$]



Simple example of dynamical symmetries, group chain, degeneracies

Simple example of Dyn. Sym.,
Group Chain, Degeneracies



$$E_{JM} = 2a J(J+1) + 2b M^2$$

$$[H, J^2] = [H, J_z] = 0 \quad J, M \text{ constants of motion}$$

$$[H, J^2] = [H, J_z] = 0$$

J, M constants of motion



Let's illustrate group chains and degeneracy-breaking.

**Consider a Hamiltonian that is a function ONLY of:
 $s \dagger s + d \dagger d$**

That is: $H = a(s \dagger s + d \dagger d) = a (n_s + n_d)$


$$H' = H + k \quad = aN \quad /$$

Now, add a term to this Hamiltonian:

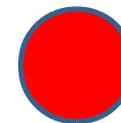
Now the energies depend not only on N but also on nd

States of a given nd are now degenerate. They are “representations” of the group $U(5)$. States with different nd are not degenerate

$$2 \frac{N+}{2}$$

$$H' = aN \quad \boxed{} \quad d = a \quad \boxed{}$$

bnd



$$a \frac{N+}{1}$$

$$0 \frac{N}{1}$$

E

U(6)

$$H' = aN$$



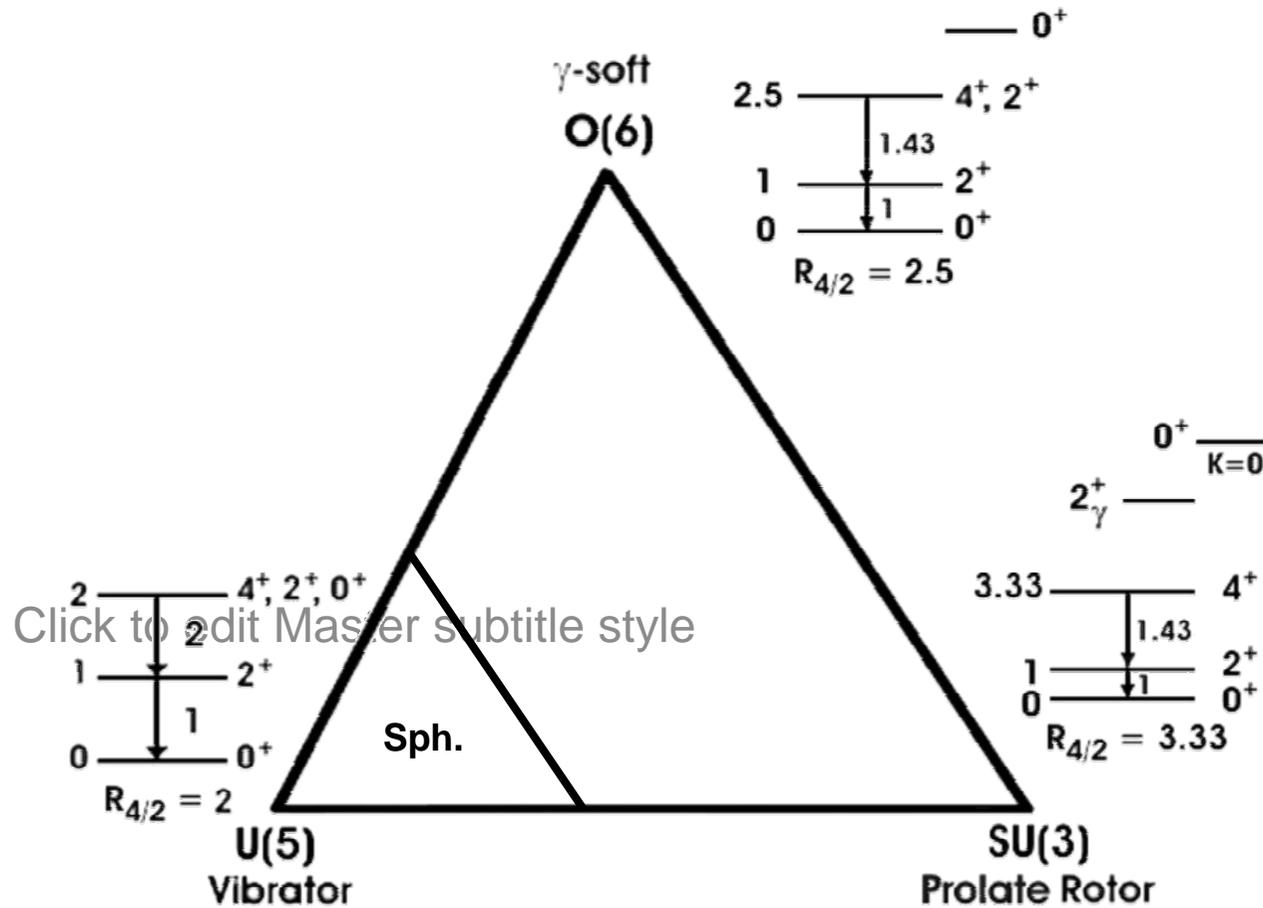
Etc. with further terms

***OK, here's the key
point :***

Concept of a Dynamical Symmetry

Spectrum generating algebra !!

**Next
time**



Classifying Structure -- The Symmetry Triangle