

Nucleons and Nuclei: Interactions, Geometry, Symmetries

Jerzy DUDEK

Department of Subatomic Research, CNRS/IN₂P₃
and
University of Strasbourg, F-67037 Strasbourg, FRANCE

September 28, 2010

Part I

Nuclear Relativistic Mean Field Theory: Underlying Symmetries

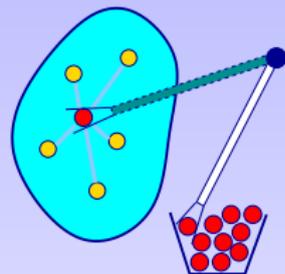
A Few Remarks about the Mean-Field Concept

A Few Remarks about the Mean-Field Concept

- A mean-field interaction can be seen as an algorithm probing the two-body interactions through the generalized weighted average \hat{V}

$$\hat{V}(\hat{x}) = \frac{1}{N-1} \sum_{j=1}^{(N-1)} \int dx_j \psi^*(x_j) \hat{V}(\hat{x}, \hat{x}_j) \psi(x_j)$$

An N-Body System



Schematic: Probing 2-body interactions with an 'external' test-particle

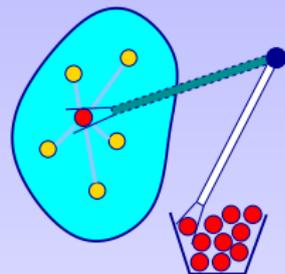
A Few Remarks about the Mean-Field Concept

- A mean-field interaction can be seen as an algorithm probing the two-body interactions through the generalized weighted average \widehat{V}

$$\widehat{V}(\hat{x}) = \frac{1}{N-1} \sum_{j=1}^{(N-1)} \int dx_j \psi^*(x_j) \widehat{V}(\hat{x}, \hat{x}_j) \psi(x_j)$$

- Observe that the summation implies the averaging over the (N-1)-particles

An N-Body System



Schematic: Probing 2-body interactions with an 'external' test-particle

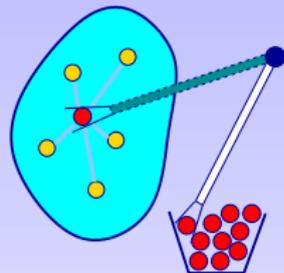
A Few Remarks about the Mean-Field Concept

- A mean-field interaction can be seen as an algorithm probing the two-body interactions through the generalized weighted average \widehat{V}

$$\widehat{V}(\hat{x}) = \frac{1}{N-1} \sum_{j=1}^{(N-1)} \int dx_j \psi^*(x_j) \widehat{V}(\hat{x}, \hat{x}_j) \psi(x_j)$$

- Observe that the summation implies the averaging over the (N-1)-particles
- Notice also that the mean-potential $\widehat{V} = \widehat{V}(\hat{x})$ is a one-body operator only

An N-Body System



Schematic: Probing 2-body interactions with an 'external' test-particle

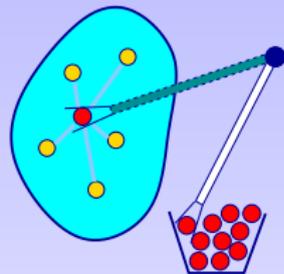
A Few Remarks about the Mean-Field Concept

- A mean-field interaction can be seen as an algorithm probing the two-body interactions through the generalized weighted average \hat{V}

$$\hat{V}(\hat{x}) = \frac{1}{N-1} \sum_{j=1}^{(N-1)} \int dx_j \psi^*(x_j) \hat{V}(\hat{x}, \hat{x}_j) \psi(x_j)$$

- Observe that the summation implies the averaging over the (N-1)-particles
- Notice also that the mean-potential $\hat{V} = \hat{V}(\hat{x})$ is a one-body operator only
- Relativistic theory illustrated in the following provides a similar concept but using a quantum field theory basis

An N-Body System



Schematic: Probing 2-body interactions with an 'external' test-particle

Quark confinement allows to use the independent nucleon approximation

Confinement & Low Energy Sub-Atomic Phenomena

- In analogy to quantum electrodynamics whose Lagrangian-density*

$$\mathcal{L}_{\text{QED}} = \mathcal{L}_{\text{Dirac}} + \mathcal{L}_{\text{Maxwell}} + \mathcal{L}_{\text{int}}^{\text{EM}}$$

or more explicitly

$$\mathcal{L}_{\text{QED}} = \bar{\psi}(i\gamma^\mu \mathbf{p}_\mu - m)\psi - \frac{1}{4}[\mathbf{F}_{\mu\nu}]^2 + e(\bar{\psi}\gamma^\mu\psi)\mathbf{A}_\mu$$

- ... we may introduce the so-called Yukawa interaction density:

$$\mathcal{L}_{\text{Yukawa}} = \mathcal{L}_{\text{Dirac}} + \mathcal{L}_{\text{Klein-Gordon}} + \mathcal{L}_{\text{int}}^{\text{strong}}$$

- In subatomic physics this theory leads to coupled systems of the relativistic equations ignoring the existence of quarks. Their form:

$\left. \begin{aligned} [\text{Dirac Equations for Nucleons}] &= [\text{Nucleons Coupled with Mesons}] \\ [\text{Klein - Gordon Eqs for Mesons}] &= [\text{Mesons Coupled with Nucleons}] \end{aligned} \right\}$

Confinement & Low Energy Sub-Atomic Phenomena

- In analogy to quantum electrodynamics whose Lagrangian-density*

$$\mathcal{L}_{\text{QED}} = \mathcal{L}_{\text{Dirac}} + \mathcal{L}_{\text{Maxwell}} + \mathcal{L}_{\text{int}}^{\text{EM}}$$

or more explicitly

$$\mathcal{L}_{\text{QED}} = \bar{\psi}(i\gamma^\mu \mathbf{p}_\mu - m)\psi - \frac{1}{4}[\mathbf{F}_{\mu\nu}]^2 + e(\bar{\psi}\gamma^\mu\psi)\mathbf{A}_\mu$$

- ... we may introduce the so-called Yukawa interaction density:

$$\mathcal{L}_{\text{Yukawa}} = \mathcal{L}_{\text{Dirac}} + \mathcal{L}_{\text{Klein-Gordon}} + \mathcal{L}_{\text{int}}^{\text{strong}}$$

- In subatomic physics this theory leads to coupled systems of the relativistic equations ignoring the existence of quarks. Their form:

$\left. \begin{aligned} [\text{Dirac Equations for Nucleons}] &= [\text{Nucleons Coupled with Mesons}] \\ [\text{Klein - Gordon Eqs for Mesons}] &= [\text{Mesons Coupled with Nucleons}] \end{aligned} \right\}$

Confinement & Low Energy Sub-Atomic Phenomena

- In analogy to quantum electrodynamics whose Lagrangian-density*

$$\mathcal{L}_{\text{QED}} = \mathcal{L}_{\text{Dirac}} + \mathcal{L}_{\text{Maxwell}} + \mathcal{L}_{\text{int}}^{\text{EM}}$$

or more explicitly

$$\mathcal{L}_{\text{QED}} = \bar{\psi}(i\gamma^\mu \mathbf{p}_\mu - m)\psi - \frac{1}{4}[\mathbf{F}_{\mu\nu}]^2 + e(\bar{\psi}\gamma^\mu\psi)\mathbf{A}_\mu$$

- ... we may introduce the so-called Yukawa interaction density:

$$\mathcal{L}_{\text{Yukawa}} = \mathcal{L}_{\text{Dirac}} + \mathcal{L}_{\text{Klein-Gordon}} + \mathcal{L}_{\text{int}}^{\text{strong}}$$

- In subatomic physics this theory leads to coupled systems of the relativistic equations ignoring the existence of quarks. Their form:

$\left. \begin{aligned} [\text{Dirac Equations for Nucleons}] &= [\text{Nucleons Coupled with Mesons}] \\ [\text{Klein - Gordon Eqs for Mesons}] &= [\text{Mesons Coupled with Nucleons}] \end{aligned} \right\}$

Confinement & Low Energy Sub-Atomic Phenomena

- In such theories we obtain Dirac-type relativistic wave-equations for the nucleons moving in the average fields of all other particles ...
- We obtain relativistic Klein-Gordon-type wave equations for mesons moving in average fields of all other particles; both sets are coupled
- Those coupled equations are iterated to obtain a self-consistent final solution for the wave-functions: ψ (nucleons) and ϕ (mesons)
- They turn out to be very successful in calculations which can be compared with numerous types of experimental data - e.g. masses
- Observe that neither quarks nor gluons will ever appear explicitly
- In what follows we will illustrate the functioning of such a theory

Confinement & Low Energy Sub-Atomic Phenomena

- In such theories we obtain Dirac-type relativistic wave-equations for the nucleons moving in the average fields of all other particles ...
- We obtain relativistic Klein-Gordon-type wave equations for mesons moving in average fields of all other particles; both sets are coupled
- Those coupled equations are iterated to obtain a self-consistent final solution for the wave-functions: ψ (nucleons) and ϕ (mesons)
- They turn out to be very successful in calculations which can be compared with numerous types of experimental data - e.g. masses
- Observe that neither quarks nor gluons will ever appear explicitly
- In what follows we will illustrate the functioning of such a theory

Confinement & Low Energy Sub-Atomic Phenomena

- In such theories we obtain Dirac-type relativistic wave-equations for the nucleons moving in the average fields of all other particles ...
- We obtain relativistic Klein-Gordon-type wave equations for mesons moving in average fields of all other particles; both sets are coupled
- Those coupled equations are iterated to obtain a self-consistent final solution for the wave-functions: ψ (nucleons) and ϕ (mesons)
- They turn out to be very successful in calculations which can be compared with numerous types of experimental data - e.g. masses
- Observe that neither quarks nor gluons will ever appear explicitly
- In what follows we will illustrate the functioning of such a theory

Confinement & Low Energy Sub-Atomic Phenomena

- In such theories we obtain Dirac-type relativistic wave-equations for the nucleons moving in the average fields of all other particles ...
- We obtain relativistic Klein-Gordon-type wave equations for mesons moving in average fields of all other particles; both sets are coupled
- Those coupled equations are iterated to obtain a self-consistent final solution for the wave-functions: ψ (nucleons) and ϕ (mesons)
- They turn out to be very successful in calculations which can be compared with numerous types of experimental data - e.g. masses
- Observe that neither quarks nor gluons will ever appear explicitly
- In what follows we will illustrate the functioning of such a theory

Confinement & Low Energy Sub-Atomic Phenomena

- In such theories we obtain Dirac-type relativistic wave-equations for the nucleons moving in the average fields of all other particles ...
- We obtain relativistic Klein-Gordon-type wave equations for mesons moving in average fields of all other particles; both sets are coupled
- Those coupled equations are iterated to obtain a self-consistent final solution for the wave-functions: ψ (nucleons) and ϕ (mesons)
- They turn out to be very successful in calculations which can be compared with numerous types of experimental data - e.g. masses
- Observe that neither quarks nor gluons will ever appear explicitly
- In what follows we will illustrate the functioning of such a theory

Confinement & Low Energy Sub-Atomic Phenomena

- In such theories we obtain Dirac-type relativistic wave-equations for the nucleons moving in the average fields of all other particles ...
- We obtain relativistic Klein-Gordon-type wave equations for mesons moving in average fields of all other particles; both sets are coupled
- Those coupled equations are iterated to obtain a self-consistent final solution for the wave-functions: ψ (nucleons) and ϕ (mesons)
- They turn out to be very successful in calculations which can be compared with numerous types of experimental data - e.g. masses
- Observe that neither quarks nor gluons will ever appear explicitly
- In what follows we will illustrate the functioning of such a theory

Free Dirac Equation - A Short Reminder

- The so-called covariant form of the free Dirac equation reads*

$$(\gamma^\mu \hat{p}_\mu - m c) \psi = 0; \quad \{\hat{p}_\mu\} \equiv \left\{ i \left(\frac{\hbar}{c} \right) \frac{\partial}{\partial t}, i\hbar \hat{\nabla} \right\}$$

* We use occasionally Einstein's summation convention: Repeated indices as e.g. $\gamma^\mu \hat{p}_\mu \leftrightarrow \sum_{\mu=0}^4 \gamma^\mu \hat{p}_\mu$

Free Dirac Equation - A Short Reminder

- The so-called covariant form of the free Dirac equation reads*

$$(\gamma^\mu \hat{p}_\mu - m c) \psi = 0; \quad \{\hat{p}_\mu\} \equiv \left\{ i \left(\frac{\hbar}{c} \right) \frac{\partial}{\partial t}, i\hbar \hat{\nabla} \right\}$$

- Schrödinger-like form of the free Dirac equation - (just insert \hat{p}_μ)

$$i\hbar \frac{\partial \psi}{\partial t} = -i\hbar c (\hat{\alpha} \cdot \hat{\nabla}) \psi + \beta (m c^2) \psi; \quad \psi \sim \varphi e^{\pm i \frac{E t}{\hbar}}$$

* We use occasionally Einstein's summation convention: Repeated indices as e.g. $\gamma^\mu \hat{p}_\mu \Leftrightarrow \sum_{\mu=0}^4 \gamma^\mu \hat{p}_\mu$

Free Dirac Equation - A Short Reminder

- The so-called covariant form of the free Dirac equation reads*

$$(\gamma^\mu \hat{p}_\mu - m c) \psi = 0; \quad \{\hat{p}_\mu\} \equiv \left\{ i \left(\frac{\hbar}{c} \right) \frac{\partial}{\partial t}, i\hbar \hat{\nabla} \right\}$$

- Schrödinger-like form of the free Dirac equation - (just insert \hat{p}_μ)

$$i\hbar \frac{\partial \psi}{\partial t} = -i\hbar c (\hat{\alpha} \cdot \hat{\nabla}) \psi + \beta (m c^2) \psi; \quad \psi \sim \varphi e^{\pm i \frac{\mathcal{E} t}{\hbar}}$$

- An equivalent, stationary form of the free Dirac equation is now:

$$\left[-i\hbar c (\hat{\alpha} \cdot \hat{\nabla}) + \beta (m c^2) \right] \varphi = \mathcal{E} \varphi,$$

where $\hat{\alpha} \equiv \{\alpha_1, \alpha_2, \alpha_3\}$ and β are the standard 4×4 Dirac matrices

* We use occasionally Einstein's summation convention: Repeated indices as e.g. $\gamma^\mu \hat{p}_\mu \Leftrightarrow \sum_{\mu=0}^4 \gamma^\mu \hat{p}_\mu$

Mesons Mediating Nucleon-Nucleon Interactions

- In principle the nucleons interact through exchange of $q\bar{q}$ pairs:

π^+, π^0, π^- — isovector, pseudoscalar;

η — isoscalar, pseudoscalar;

ρ^+, ρ^0, ρ^- — isovector, vector;

ω — isoscalar, vector;

γ — massless, vector;

Mesons Mediating Nucleon-Nucleon Interactions

- In principle the nucleons interact through exchange of $q\bar{q}$ pairs:

π^+, π^0, π^- — isovector, pseudoscalar;

η — isoscalar, pseudoscalar;

ρ^+, ρ^0, ρ^- — isovector, vector;

ω — isoscalar, vector;

γ — massless, vector;

- Using relativistic quantum field theory we may derive the Dirac equation for the nucleons in the presence of the exchange of mesons

$$\{c \vec{\alpha} \cdot \hat{\mathbf{p}} + \hat{\mathbf{V}}(\vec{r}) \mathbb{I}_4 + \beta [m_0 c^2 + \hat{\mathbf{S}}(\vec{r})]\} \psi_n = \mathcal{E}_n \psi_n,$$

Above: $\hat{\mathbf{V}}$ and $\hat{\mathbf{S}}$ are known functions originating from vector and scalar meson exchange, respectively (pseudo-scalars treated approx.)

Dirac Equation for Nucleons (with Interactions)

1. The bound nucleons satisfy the "Dirac equation with interaction"

$$\{c\vec{\alpha} \cdot \hat{\mathbf{p}} + \hat{V}(\vec{r}) \mathbb{1}_4 + \beta [m_0 c^2 + \hat{S}(\vec{r})]\} \psi_n = \mathcal{E}_n \psi_n$$

2. Vector- and scalar-meson potentials $\hat{V}(\vec{r})$ and $\hat{S}(\vec{r})$, respectively

$$\hat{S}(\vec{r}) = g_\sigma \sigma(\vec{r}) + g_3 \sigma^3(\vec{r})$$

and

$$\hat{V}(\vec{r}) = g_\omega \omega_0(\vec{r}) + g_\rho \hat{\tau}_3 \rho(\vec{r}) + \frac{1}{2} (\mathbb{1} + \hat{\tau}_3) g_e A_0(\vec{r})$$

are obtained from the K-G solutions for the mesons and photons

Dirac Equation for Nucleons (with Interactions)

1. The bound nucleons satisfy the "Dirac equation with interaction"

$$\{c\vec{\alpha} \cdot \hat{p} + \hat{V}(\vec{r}) \mathbb{I}_4 + \beta [m_0 c^2 + \hat{S}(\vec{r})]\} \psi_n = \mathcal{E}_n \psi_n$$

2. Vector- and scalar-meson potentials $\hat{V}(\vec{r})$ and $\hat{S}(\vec{r})$, respectively

$$\hat{S}(\vec{r}) = g_\sigma \sigma(\vec{r}) + g_3 \sigma^3(\vec{r})$$

and

$$\hat{V}(\vec{r}) = g_\omega \omega_0(\vec{r}) + g_\rho \hat{\tau}_3 \rho(\vec{r}) + \frac{1}{2}(\mathbb{I} + \hat{\tau}_3) g_e A_0(\vec{r})$$

are obtained from the K-G solutions for the mesons and photons

A Mathematical Simplification: Pauli-Schrödinger Formalism

Standard Pauli-Schrödinger Reduction

- Representing nucleon's ψ in terms of 'big' and 'small' components:

$$\psi \equiv \begin{pmatrix} \xi \\ \eta \end{pmatrix}; \quad \xi \equiv \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix}; \quad \eta \equiv \begin{pmatrix} \psi_3 \\ \psi_4 \end{pmatrix}; \quad \vec{\alpha} = \begin{pmatrix} \mathbf{0} & \vec{\sigma} \\ \vec{\sigma} & \mathbf{0} \end{pmatrix}$$

we may write two Schrödinger-like equations for spinors ξ and η

$$\hat{H}_\xi \xi_n = \mathcal{E}_n \xi_n \quad \text{and} \quad \hat{H}_\eta \eta_n = \mathcal{E}_n \eta_n$$

Standard Pauli-Schrödinger Reduction

- Representing nucleon's ψ in terms of 'big' and 'small' components:

$$\psi \equiv \begin{pmatrix} \xi \\ \eta \end{pmatrix}; \quad \xi \equiv \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix}; \quad \eta \equiv \begin{pmatrix} \psi_3 \\ \psi_4 \end{pmatrix}; \quad \vec{\alpha} = \begin{pmatrix} \mathbf{0} & \vec{\sigma} \\ \vec{\sigma} & \mathbf{0} \end{pmatrix}$$

we may write two Schrödinger-like equations for spinors ξ and η

$$\hat{H}_\xi \xi_n = \mathcal{E}_n \xi_n \quad \text{and} \quad \hat{H}_\eta \eta_n = \mathcal{E}_n \eta_n$$

- These Schrödinger-type Hamiltonians are non-linear in energy:

$$\hat{H}_\xi \equiv (c \vec{\sigma} \cdot \hat{\mathbf{p}}) \frac{1}{[\mathcal{E} + m_0 c^2 - (\hat{V} - \hat{S})]} (c \vec{\sigma} \cdot \hat{\mathbf{p}}) + [m_0 c^2 + (\hat{V} + \hat{S})]$$

$$\hat{H}_\eta \equiv (c \vec{\sigma} \cdot \hat{\mathbf{p}}) \frac{1}{[\mathcal{E} - m_0 c^2 - (\hat{V} + \hat{S})]} (c \vec{\sigma} \cdot \hat{\mathbf{p}}) - [m_0 c^2 - (\hat{V} - \hat{S})]$$

Standard Pauli-Schrödinger Reduction - Properties

- Eigen-energies \mathcal{E}_n are common for both equations; they can be obtained by solving only one of them, usually for big component ξ_n

$$\hat{H}_\xi \xi_n = \mathcal{E}_n \xi_n \quad \text{or} \quad \hat{H}_\eta \eta_n = \mathcal{E}_n \eta_n$$

- The two Schrödinger-type equations are strictly equivalent to the original Dirac equation - there are no approximations here
- The potentials depend only (!) on \vec{r} : $\hat{V} = \hat{V}(\vec{r})$ and $\hat{S} = \hat{S}(\vec{r})$
- The eigen-energies appear non-linearly \rightarrow Bad News!
- Equations depend only on the sum and on the difference of the two original potentials - not on the individual ones \rightarrow Interesting!
Very Interesting!
- Calculations show that inside the nucleus
 $\langle \hat{S} \rangle \approx -400 \text{ MeV}$ and $\langle \hat{V} \rangle \approx +350 \text{ MeV}$

Standard Pauli-Schrödinger Reduction - Properties

- Eigen-energies \mathcal{E}_n are common for both equations; they can be obtained by solving only one of them, usually for big component ξ_n

$$\hat{H}_\xi \xi_n = \mathcal{E}_n \xi_n \quad \text{or} \quad \hat{H}_\eta \eta_n = \mathcal{E}_n \eta_n$$

- The two Schrödinger-type equations are strictly equivalent to the original Dirac equation - there are no approximations here
- The potentials depend only (!) on \vec{r} : $\hat{V} = \hat{V}(\vec{r})$ and $\hat{S} = \hat{S}(\vec{r})$
- The eigen-energies appear non-linearly \rightarrow Bad News!
- Equations depend only on the sum and on the difference of the two original potentials - not on the individual ones \rightarrow Interesting!
Very Interesting!
- Calculations show that inside the nucleus
 $\langle \hat{S} \rangle \approx -400 \text{ MeV}$ and $\langle \hat{V} \rangle \approx +350 \text{ MeV}$

Standard Pauli-Schrödinger Reduction - Properties

- Eigen-energies \mathcal{E}_n are common for both equations; they can be obtained by solving only one of them, usually for big component ξ_n

$$\hat{H}_\xi \xi_n = \mathcal{E}_n \xi_n \quad \text{or} \quad \hat{H}_\eta \eta_n = \mathcal{E}_n \eta_n$$

- The two Schrödinger-type equations are strictly equivalent to the original Dirac equation - there are no approximations here
- The potentials depend only (!) on \vec{r} : $\hat{V} = \hat{V}(\vec{r})$ and $\hat{S} = \hat{S}(\vec{r})$
- The eigen-energies appear non-linearly \rightarrow Bad News!
- Equations depend only on the sum and on the difference of the two original potentials - not on the individual ones \rightarrow Interesting!
Very Interesting!
- Calculations show that inside the nucleus
 $\langle \hat{S} \rangle \approx -400 \text{ MeV}$ and $\langle \hat{V} \rangle \approx +350 \text{ MeV}$

Standard Pauli-Schrödinger Reduction - Properties

- Eigen-energies \mathcal{E}_n are common for both equations; they can be obtained by solving only one of them, usually for big component ξ_n

$$\hat{H}_\xi \xi_n = \mathcal{E}_n \xi_n \quad \text{or} \quad \hat{H}_\eta \eta_n = \mathcal{E}_n \eta_n$$

- The two Schrödinger-type equations are strictly equivalent to the original Dirac equation - there are no approximations here
- The potentials depend only (!) on \vec{r} : $\hat{V} = \hat{V}(\vec{r})$ and $\hat{S} = \hat{S}(\vec{r})$
- The eigen-energies appear non-linearly \rightarrow Bad News!
- Equations depend only on the sum and on the difference of the two original potentials - not on the individual ones \rightarrow Interesting!
Very Interesting!
- Calculations show that inside the nucleus
 $\langle \hat{S} \rangle \approx -400 \text{ MeV}$ and $\langle \hat{V} \rangle \approx +350 \text{ MeV}$

Standard Pauli-Schrödinger Reduction - Properties

- Eigen-energies \mathcal{E}_n are common for both equations; they can be obtained by solving only one of them, usually for big component ξ_n

$$\hat{H}_\xi \xi_n = \mathcal{E}_n \xi_n \quad \text{or} \quad \hat{H}_\eta \eta_n = \mathcal{E}_n \eta_n$$

- The two Schrödinger-type equations are strictly equivalent to the original Dirac equation - there are no approximations here
- The potentials depend only (!) on \vec{r} : $\hat{V} = \hat{V}(\vec{r})$ and $\hat{S} = \hat{S}(\vec{r})$
- The eigen-energies appear non-linearly \rightarrow Bad News!
- Equations depend only on the sum and on the difference of the two original potentials - not on the individual ones \rightarrow Interesting!
Very Interesting!
- Calculations show that inside the nucleus
 $\langle \hat{S} \rangle \approx -400 \text{ MeV}$ and $\langle \hat{V} \rangle \approx +350 \text{ MeV}$

Position-Dependent Effective Mass: Definition

- Let us recall the definition of the Pauli-Schrödinger Hamiltonian:

$$\hat{H}_\xi \equiv (c \vec{\sigma} \cdot \hat{\mathbf{p}}) \frac{1}{\{\mathcal{E} + m_0 c^2 - [\hat{V} - \hat{S}]\}} (c \vec{\sigma} \cdot \hat{\mathbf{p}}) + [m_0 c^2 + [\hat{V} + \hat{S}]]$$

- By replacing \mathcal{E} with $m_0 c^2 + \epsilon$, we may introduce the position-dependent effective mass $m^*(\vec{r})$

$$m^*(\vec{r}) \equiv \left\{ m_0 c^2 - \frac{1}{2} [\hat{V}(\vec{r}) - \hat{S}(\vec{r})] \right\}$$

and rewrite the denominator in the form:

$$\epsilon + 2m_0 c^2 - [\hat{V}(\vec{r}) - \hat{S}(\vec{r})] \equiv \epsilon + 2m^*(\vec{r})$$

- Since $m_0 c^2 \approx 1000$ MeV and since inside the nucleus we have $\langle \frac{1}{2} [\hat{V}(\vec{r}) - \hat{S}(\vec{r})] \rangle \approx 375$ MeV we find that $\langle 2m^*(\vec{r}) \rangle \approx 750$ MeV

Position-Dependent Effective Mass: Definition

- Let us recall the definition of the Pauli-Schrödinger Hamiltonian:

$$\hat{H}_\xi \equiv (c \vec{\sigma} \cdot \hat{\mathbf{p}}) \frac{1}{\{\mathcal{E} + m_0 c^2 - [\hat{\mathbf{V}} - \hat{\mathbf{S}}]\}} (c \vec{\sigma} \cdot \hat{\mathbf{p}}) + [m_0 c^2 + [\hat{\mathbf{V}} + \hat{\mathbf{S}}]]$$

- By replacing \mathcal{E} with $m_0 c^2 + \epsilon$, we may introduce the position-dependent effective mass $m^*(\vec{r})$

$$m^*(\vec{r}) \equiv \left\{ m_0 c^2 - \frac{1}{2} [\hat{\mathbf{V}}(\vec{r}) - \hat{\mathbf{S}}(\vec{r})] \right\}$$

and rewrite the denominator in the form:

$$\epsilon + 2m_0 c^2 - [\hat{\mathbf{V}}(\vec{r}) - \hat{\mathbf{S}}(\vec{r})] \equiv \epsilon + 2m^*(\vec{r})$$

- Since $m_0 c^2 \approx 1000$ MeV and since inside the nucleus we have $\langle \frac{1}{2} [\hat{\mathbf{V}}(\vec{r}) - \hat{\mathbf{S}}(\vec{r})] \rangle \approx 375$ MeV we find that $\langle 2m^*(\vec{r}) \rangle \approx 750$ MeV

Position-Dependent Effective Mass: Definition

- Let us recall the definition of the Pauli-Schrödinger Hamiltonian:

$$\hat{H}_\xi \equiv (c \vec{\sigma} \cdot \hat{\mathbf{p}}) \frac{1}{\{\mathcal{E} + m_0 c^2 - [\hat{\mathbf{V}} - \hat{\mathbf{S}}]\}} (c \vec{\sigma} \cdot \hat{\mathbf{p}}) + [m_0 c^2 + [\hat{\mathbf{V}} + \hat{\mathbf{S}}]]$$

- By replacing \mathcal{E} with $m_0 c^2 + \epsilon$, we may introduce the position-dependent effective mass $m^*(\vec{r})$

$$m^*(\vec{r}) \equiv \left\{ m_0 c^2 - \frac{1}{2} [\hat{\mathbf{V}}(\vec{r}) - \hat{\mathbf{S}}(\vec{r})] \right\}$$

and rewrite the denominator in the form:

$$\epsilon + 2m_0 c^2 - [\hat{\mathbf{V}}(\vec{r}) - \hat{\mathbf{S}}(\vec{r})] \equiv \epsilon + 2m^*(\vec{r})$$

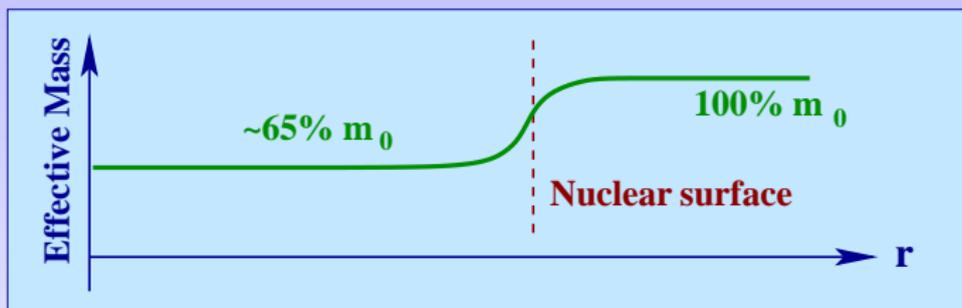
- Since $m_0 c^2 \approx 1000$ MeV and since inside the nucleus we have $\langle \frac{1}{2} [\hat{\mathbf{V}}(\vec{r}) - \hat{\mathbf{S}}(\vec{r})] \rangle \approx 375$ MeV we find that $\langle 2m^*(\vec{r}) \rangle \approx 750$ MeV

Position-Dependent Effective Mass: Estimates

- Using the estimates $\langle \hat{S} \rangle \approx -400$ MeV and $\langle \hat{V} \rangle \approx +350$ we find

$$\frac{1}{2m_0c^2 + \epsilon - (\hat{V} - \hat{S})} = \frac{1}{\epsilon + 2m^*} \simeq \frac{1}{2m^*} \left(1 - \frac{\epsilon}{2m^*} \right) \simeq \frac{1}{2m^*}$$

- In the above relations $2m^* \approx 1300$ MeV. For the levels close to the Fermi energy we have $|\epsilon| \sim (0 \text{ to } 10)$ MeV $\rightarrow \epsilon/2m^* \sim 0.01$. Thus Hamiltonians discussed are energy independent to 1% error

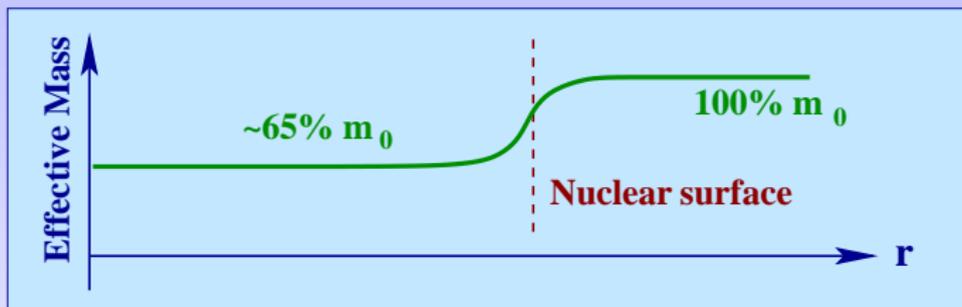


Position-Dependent Effective Mass: Estimates

- Using the estimates $\langle \hat{S} \rangle \approx -400$ MeV and $\langle \hat{V} \rangle \approx +350$ we find

$$\frac{1}{2m_0c^2 + \epsilon - (\hat{V} - \hat{S})} = \frac{1}{\epsilon + 2m^*} \simeq \frac{1}{2m^*} \left(1 - \frac{\epsilon}{2m^*} \right) \simeq \frac{1}{2m^*}$$

- In the above relations $2m^* \approx 1300$ MeV. For the levels close to the Fermi energy we have $|\epsilon| \sim (0 \text{ to } 10)$ MeV $\rightarrow \epsilon/2m^* \sim 0.01$. Thus Hamiltonians discussed are energy independent to 1% error



Linearized Pauli-Schrödinger Equation

- The approximately linearised Pauli-Schrödinger equation then is:

$$\left\{ (\vec{\sigma} \cdot \hat{\mathbf{p}}) \frac{1}{2m^*(\vec{r})} (\vec{\sigma} \cdot \hat{\mathbf{p}}) + \underbrace{[\hat{\mathbf{S}}(\vec{r}) + \hat{\mathbf{V}}(\vec{r})]}_{\sim -60 \text{ MeV}} \right\} \xi_n = \epsilon_n \xi_n$$

Linearized Pauli-Schrödinger Equation

- The approximately linearised Pauli-Schrödinger equation then is:

$$\left\{ (\vec{\sigma} \cdot \hat{\mathbf{p}}) \frac{1}{2m^*(\vec{r})} (\vec{\sigma} \cdot \hat{\mathbf{p}}) + \underbrace{[\hat{S}(\vec{r}) + \hat{V}(\vec{r})]}_{\sim -60 \text{ MeV}} \right\} \xi_n = \epsilon_n \xi_n$$

with the position-dependent effective mass:

$$m^*(\vec{r}) = \left\{ m_0 c^2 - \frac{1}{2} \underbrace{[\hat{V}(\vec{r}) - \hat{S}(\vec{r})]}_{\sim +750 \text{ MeV}} \right\}$$

Linearized Pauli-Schrödinger Equation

- The approximately linearised Pauli-Schrödinger equation then is:

$$\left\{ (\vec{\sigma} \cdot \hat{\mathbf{p}}) \frac{1}{2m^*(\vec{r})} (\vec{\sigma} \cdot \hat{\mathbf{p}}) + \underbrace{[\hat{S}(\vec{r}) + \hat{V}(\vec{r})]}_{\sim -60 \text{ MeV}} \right\} \xi_n = \epsilon_n \xi_n$$

with the position-dependent effective mass:

$$m^*(\vec{r}) = \left\{ m_0 c^2 - \frac{1}{2} \underbrace{[\hat{V}(\vec{r}) - \hat{S}(\vec{r})]}_{\sim +750 \text{ MeV}} \right\}$$

- The potential that binds the nucleons in the nucleus is the sum of the scalar- and vector-meson exchange contributions:

$$W(\vec{r}) \stackrel{\text{df}}{=} \hat{S}(\vec{r}) + \hat{V}(\vec{r}) \approx -60 \text{ MeV}$$

Form of the Generalized Kinetic Energy Operator

- The operator quadratic in linear momenta can be transformed:

$$(\vec{\sigma} \cdot \hat{\mathbf{p}}) \frac{1}{2m^*(\vec{r})} (\vec{\sigma} \cdot \hat{\mathbf{p}}) = \frac{1}{2m^*(\vec{r})} \hat{\mathbf{p}}^2 + \hat{V}_{\vec{p}}(\vec{r}, \hat{\mathbf{p}}) + \hat{V}_{\text{so}}(\vec{r}, \hat{\mathbf{p}}, \hat{\mathbf{s}})$$

Form of the Generalized Kinetic Energy Operator

- The operator quadratic in linear momenta can be transformed:

$$(\vec{\sigma} \cdot \hat{\mathbf{p}}) \frac{1}{2m^*(\vec{r})} (\vec{\sigma} \cdot \hat{\mathbf{p}}) = \frac{1}{2m^*(\vec{r})} \hat{\mathbf{p}}^2 + \hat{V}_{\vec{p}}(\vec{r}, \hat{\mathbf{p}}) + \hat{V}_{\text{so}}(\vec{r}, \hat{\mathbf{p}}, \hat{\mathbf{s}})$$

- We recognise two new operators called 'potentials' despite the fact that they originate from the kinetic energy operator:

$$\hat{V}_{\text{so}}(\vec{r}, \hat{\mathbf{p}}, \hat{\mathbf{s}}) \equiv \frac{2}{[2m^*(\vec{r})]^2} \{ [\vec{\nabla} \underbrace{(\hat{V}(\vec{r}) - \hat{S}(\vec{r}))}_{\sim 750 \text{ MeV}}] \wedge \hat{\mathbf{p}} \} \cdot \hat{\mathbf{s}}$$

$$\hat{V}_{\vec{p}}(\vec{r}, \hat{\mathbf{p}}) \equiv \frac{-i\hbar}{[2m^*(\vec{r})]^2} [\vec{\nabla} \underbrace{(\hat{V}(\vec{r}) - \hat{S}(\vec{r}))}_{\sim 750 \text{ MeV}}] \cdot \hat{\mathbf{p}}$$

Form of the Generalized Kinetic Energy Operator

- The operator quadratic in linear momenta can be transformed:

$$(\vec{\sigma} \cdot \hat{\mathbf{p}}) \frac{1}{2m^*(\vec{r})} (\vec{\sigma} \cdot \hat{\mathbf{p}}) = \frac{1}{2m^*(\vec{r})} \hat{\mathbf{p}}^2 + \hat{V}_{\vec{p}}(\vec{r}, \hat{\mathbf{p}}) + \hat{V}_{\text{so}}(\vec{r}, \hat{\mathbf{p}}, \hat{\mathbf{s}})$$

- We recognise two new operators called 'potentials' despite the fact that they originate from the kinetic energy operator:

$$\hat{V}_{\text{so}}(\vec{r}, \hat{\mathbf{p}}, \hat{\mathbf{s}}) \equiv \frac{2}{[2m^*(\vec{r})]^2} \{ [\vec{\nabla} \underbrace{(\hat{V}(\vec{r}) - \hat{S}(\vec{r}))}_{\sim 750 \text{ MeV}}] \wedge \hat{\mathbf{p}} \} \cdot \hat{\mathbf{s}}$$

$$\hat{V}_{\vec{p}}(\vec{r}, \hat{\mathbf{p}}) \equiv \frac{-i\hbar}{[2m^*(\vec{r})]^2} [\vec{\nabla} \underbrace{(\hat{V}(\vec{r}) - \hat{S}(\vec{r}))}_{\sim 750 \text{ MeV}}] \cdot \hat{\mathbf{p}}$$

- In the following we find the interpretation of the above operators

Part II

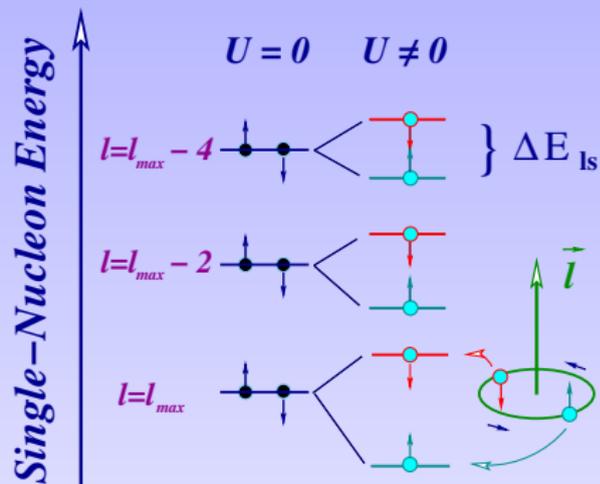
Physical Interpretation

Prediction of the Spin-Orbit Splitting Mechanism

Prediction of the Spin-Orbit Splitting Mechanism

- The Simplest Case: Spherical Symmetry

$$U(\vec{r}) \equiv U(r) \equiv \hat{V} - \hat{S} \rightarrow [\nabla U \wedge \hat{p}] \cdot \hat{s} = \frac{1}{r} \frac{dU}{dr} \overbrace{(\vec{r} \wedge \hat{p})}^{\hat{\ell}} \cdot \hat{s} = \frac{1}{r} \frac{dU}{dr} \hat{\ell} \cdot \hat{s}$$



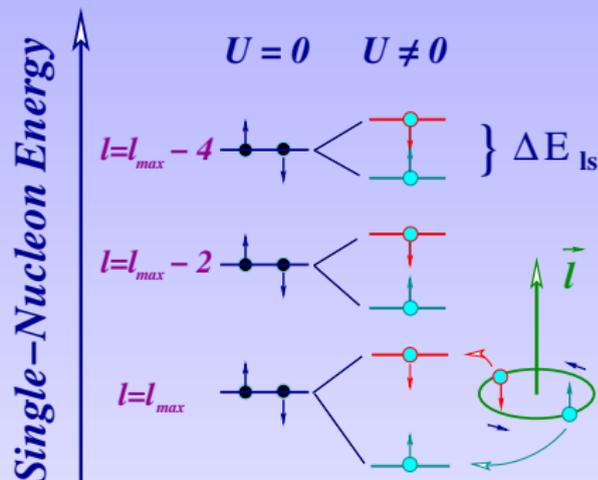
Prediction of the Spin-Orbit Splitting Mechanism

• The Simplest Case: Spherical Symmetry

$$U(\vec{r}) \equiv U(r) \equiv \hat{V} - \hat{S} \rightarrow [\nabla U \wedge \hat{p}] \cdot \hat{s} = \frac{1}{r} \frac{dU}{dr} \underbrace{(\vec{r} \wedge \hat{p})}_{\hat{\ell}} \cdot \hat{s} = \frac{1}{r} \frac{dU}{dr} \hat{\ell} \cdot \hat{s}$$

$$\uparrow(l, s) \uparrow : \quad \langle \hat{\ell} \cdot \hat{s} \rangle = +\frac{1}{2}l$$

$$\uparrow(l, s) \downarrow : \quad \langle \hat{\ell} \cdot \hat{s} \rangle = -\frac{1}{2}(l+1)$$



Prediction of the Spin-Orbit Splitting Mechanism

• The Simplest Case: Spherical Symmetry

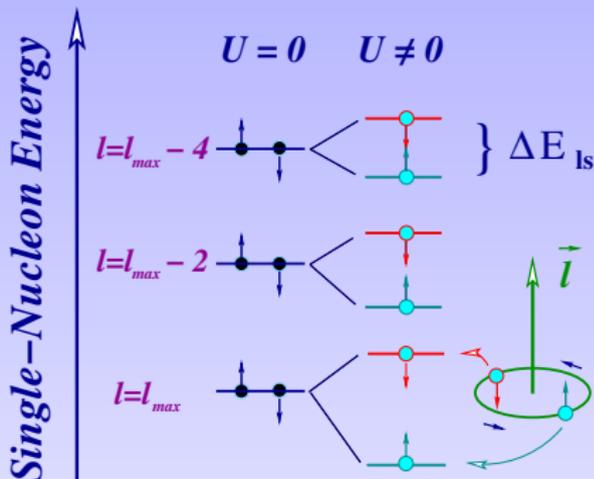
$$U(\vec{r}) \equiv U(r) \equiv \hat{V} - \hat{S} \rightarrow [\nabla U \wedge \hat{p}] \cdot \hat{s} = \frac{1}{r} \frac{dU}{dr} \underbrace{(\vec{r} \wedge \hat{p})}_{\hat{\ell}} \cdot \hat{s} = \frac{1}{r} \frac{dU}{dr} \hat{\ell} \cdot \hat{s}$$

$$\uparrow(l, s) \uparrow : \quad \langle \hat{\ell} \cdot \hat{s} \rangle = +\frac{1}{2}l$$

$$\uparrow(l, s) \downarrow : \quad \langle \hat{\ell} \cdot \hat{s} \rangle = -\frac{1}{2}(l+1)$$

Notice the correct sign of ΔE_{ls}

$$U = V - S > 0 \rightarrow \frac{dU}{dr} < 0$$

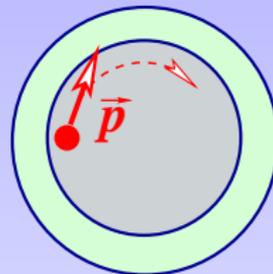
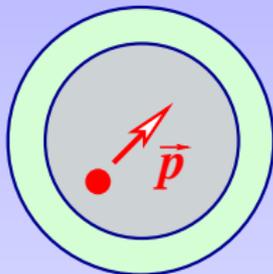


Potentials V_{so} and V_p - An Illustration

- Potential \hat{V}_p is responsible for 'de-acceleration' proportional to \hat{p}
- Both potentials stop acting at the limit $\vec{v} \sim \vec{p}/m_0 \rightarrow 0$ ('kinetic')

$$V_p \sim \frac{dU}{dr} \left(\frac{\vec{r}}{r} \right) \cdot \hat{p}$$

$$V_{so} \sim \frac{1}{r} \frac{dU}{dr} \hat{\ell} \cdot \hat{s}$$



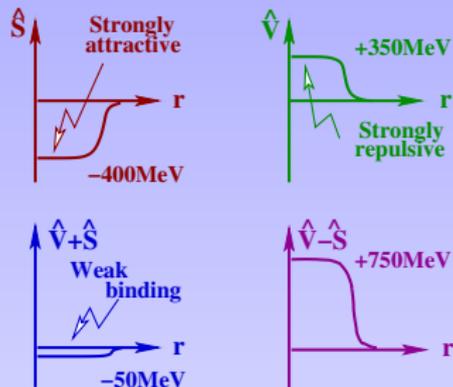
Potential V_p : It is transparent to the circular motion, and it is independent of spin

Potential V_{so} : It is indifferent to the radial motion while its action depends on spin

Orders of Magnitude: Realistic \hat{V} and \hat{S} Potentials

Orders of Magnitude: Realistic \hat{V} and \hat{S} Potentials

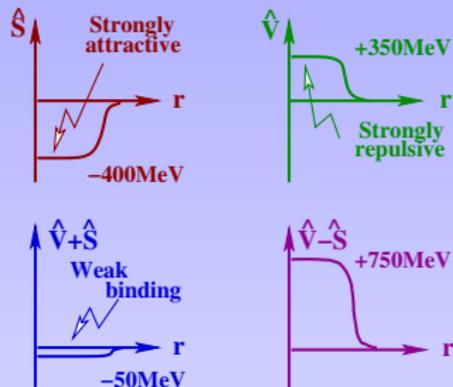
- Observe a paradox: a very strong attractive potential \hat{S} and a very strong repulsive potential \hat{V} , sum up to only very weak total nucleonic binding: $\hat{V} + \hat{S}$



Orders of Magnitude: Realistic \hat{V} and \hat{S} Potentials

- Observe a paradox: a very strong attractive potential \hat{S} and a very strong repulsive potential \hat{V} , sum up to only very weak total nucleonic binding: $\hat{V} + \hat{S}$

Observe that the very strong and positive $[\hat{V} - \hat{S}]$ term contributes:

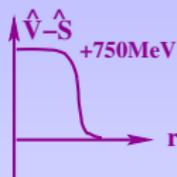
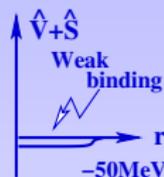
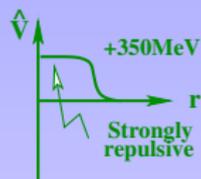
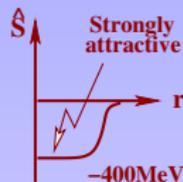


Orders of Magnitude: Realistic \hat{V} and \hat{S} Potentials

- Observe a paradox: a very strong attractive potential \hat{S} and a very strong repulsive potential \hat{V} , sum up to only very weak total nucleonic binding: $\hat{V} + \hat{S}$

Observe that the very strong and positive $[\hat{V} - \hat{S}]$ term contributes:

- Only through the gradient in the spin-orbit as well as in linear momentum potentials;

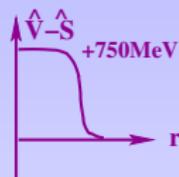
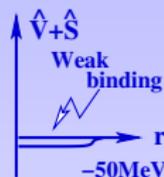
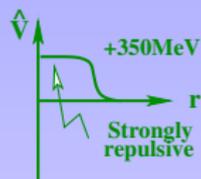
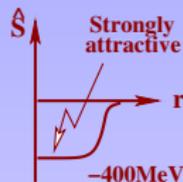


Orders of Magnitude: Realistic \hat{V} and \hat{S} Potentials

- Observe a paradox: a very strong attractive potential \hat{S} and a very strong repulsive potential \hat{V} , sum up to only very weak total nucleonic binding: $\hat{V} + \hat{S}$

Observe that the very strong and positive $[\hat{V} - \hat{S}]$ term contributes:

- Only through the gradient in the spin-orbit as well as in linear momentum potentials;
- Preceded by the 'minus' sign in the definition of the effective mass

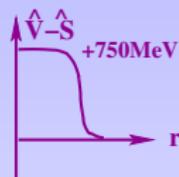
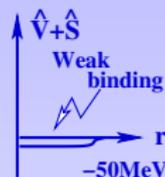
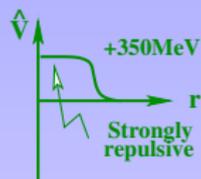
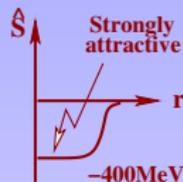


Orders of Magnitude: Realistic \hat{V} and \hat{S} Potentials

- Observe a paradox: a very strong attractive potential \hat{S} and a very strong repulsive potential \hat{V} , sum up to only very weak total nucleonic binding: $\hat{V} + \hat{S}$

Observe that the very strong and positive $[\hat{V} - \hat{S}]$ term contributes:

- Only through the gradient in the spin-orbit as well as in linear momentum potentials;
- Preceded by the 'minus' sign in the definition of the effective mass



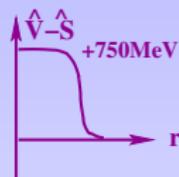
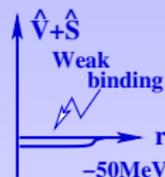
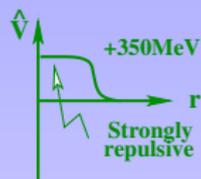
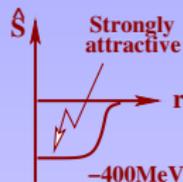
◇ Do you know WHY is the $V+S$ potential so shallow?

Orders of Magnitude: Realistic \hat{V} and \hat{S} Potentials

- Observe a paradox: a very strong attractive potential \hat{S} and a very strong repulsive potential \hat{V} , sum up to only very weak total nucleonic binding: $\hat{V} + \hat{S}$

Observe that the very strong and positive $[\hat{V} - \hat{S}]$ term contributes:

- Only through the gradient in the spin-orbit as well as in linear momentum potentials;
- Preceded by the 'minus' sign in the definition of the effective mass



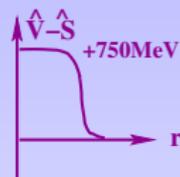
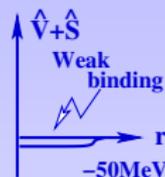
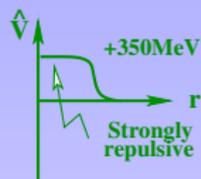
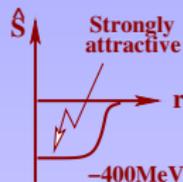
- Do you know WHY is the $V+S$ potential so shallow?
No?

Orders of Magnitude: Realistic \hat{V} and \hat{S} Potentials

- Observe a paradox: a very strong attractive potential \hat{S} and a very strong repulsive potential \hat{V} , sum up to only very weak total nucleonic binding: $\hat{V} + \hat{S}$

Observe that the very strong and positive $[\hat{V} - \hat{S}]$ term contributes:

- Only through the gradient in the spin-orbit as well as in linear momentum potentials;
- Preceded by the 'minus' sign in the definition of the effective mass



◇ Do you know WHY is the $V+S$ potential so shallow?
No? - Then please listen ...

Interpretation: Remarks about Nuclear Structure

- **The nuclear interactions originate from the exchange of mesons**
- The scalar mesons contribute to a strong attraction (~ 400 MeV)
- The vector mesons contribute to a strong repulsion (~ 350 MeV)
- The nucleons in nuclei are very weakly bound (~ -10 to 0 MeV)
- From experiment: p-p and n-n are not bound, p-n: just one state
- A paradox: Strong Interactions cannot bind even two neutrons!

Many important, not-intuitive observations

Interpretation: Remarks about Nuclear Structure

- **The nuclear interactions originate from the exchange of mesons**
- **The scalar mesons contribute to a strong attraction (~ 400 MeV)**
- **The vector mesons contribute to a strong repulsion (~ 350 MeV)**
- **The nucleons in nuclei are very weakly bound (~ -10 to 0 MeV)**
- **From experiment: p-p and n-n are not bound, p-n: just one state**
- **A paradox: Strong Interactions cannot bind even two neutrons!**

Many important, not-intuitive observations

Interpretation: Remarks about Nuclear Structure

- The nuclear interactions originate from the exchange of mesons
- The scalar mesons contribute to a strong attraction (~ 400 MeV)
- The vector mesons contribute to a strong repulsion (~ 350 MeV)
- The nucleons in nuclei are very weakly bound (~ -10 to 0 MeV)
- From experiment: p-p and n-n are not bound, p-n: just one state
- A paradox: Strong Interactions cannot bind even two neutrons!

Many important, not-intuitive observations

Interpretation: Remarks about Nuclear Structure

- **The nuclear interactions originate from the exchange of mesons**
- **The scalar mesons contribute to a strong attraction (~ 400 MeV)**
- **The vector mesons contribute to a strong repulsion (~ 350 MeV)**
- **The nucleons in nuclei are very weakly bound (~ -10 to 0 MeV)**
- From experiment: p-p and n-n are not bound, p-n: just one state
- A paradox: Strong Interactions cannot bind even two neutrons!

Many important, not-intuitive observations

Interpretation: Remarks about Nuclear Structure

- **The nuclear interactions originate from the exchange of mesons**
- **The scalar mesons contribute to a strong attraction (~ 400 MeV)**
- **The vector mesons contribute to a strong repulsion (~ 350 MeV)**
- **The nucleons in nuclei are very weakly bound (~ -10 to 0 MeV)**
- **From experiment: p-p and n-n are not bound, p-n: just one state**
- **A paradox: Strong Interactions cannot bind even two neutrons!**

Many important, not-intuitive observations

Interpretation: Remarks about Nuclear Structure

- The nuclear interactions originate from the exchange of mesons
- The scalar mesons contribute to a strong attraction (~ 400 MeV)
- The vector mesons contribute to a strong repulsion (~ 350 MeV)
- The nucleons in nuclei are very weakly bound (~ -10 to 0 MeV)
- From experiment: p-p and n-n are not bound, p-n: just one state
- A paradox: **Strong Interactions cannot bind even two neutrons!**

Many important, not-intuitive observations

What Did We Learn About Nuclear Structure?

- There exist Momentum and Spin-Orbit 'potentials'. Their origin:

$$\text{Kinetic Energy Operator: } \hat{t} \equiv (\vec{\sigma} \cdot \hat{p}) \frac{1}{2m^*(\vec{r})} (\vec{\sigma} \cdot \hat{p})$$

- Tangential orbits couple with the spin: bouncing-ball ones do not!
- Parallel $\vec{\ell}$ and \vec{s} coupling is privileged anti-parallel is 'discouraged'
- The nucleonic effective mass m^* is necessarily position dependent giving rise to two 'potentials': spin-orbit and linear-momentum ones

Remarks:

The spin-orbit potential is in fact the spin-orbit kinetic-energy - and moreover, there must exist also a linear momentum potential whose presence is seldom discussed in the published works. It must be there because of the hermiticity of the Hamiltonian.

What Did We Learn About Nuclear Structure?

- There exist Momentum and Spin-Orbit 'potentials'. Their origin:

$$\text{Kinetic Energy Operator: } \hat{t} \equiv (\vec{\sigma} \cdot \hat{p}) \frac{1}{2m^*(\vec{r})} (\vec{\sigma} \cdot \hat{p})$$

- Tangential orbits couple with the spin: bouncing-ball ones do not!
- Parallel $\vec{\ell}$ and \vec{s} coupling is privileged anti-parallel is 'discouraged'
- The nucleonic effective mass m^* is necessarily position dependent giving rise to two 'potentials': spin-orbit and linear-momentum ones

Remarks:

The spin-orbit potential is in fact the spin-orbit kinetic-energy - and moreover, there must exist also a linear momentum potential whose presence is seldom discussed in the published works. It must be there because of the hermiticity of the Hamiltonian.

What Did We Learn About Nuclear Structure?

- There exist Momentum and Spin-Orbit 'potentials'. Their origin:

$$\text{Kinetic Energy Operator: } \hat{t} \equiv (\vec{\sigma} \cdot \hat{p}) \frac{1}{2m^*(\vec{r})} (\vec{\sigma} \cdot \hat{p})$$

- Tangential orbits couple with the spin: bouncing-ball ones do not!
- Parallel $\vec{\ell}$ and \vec{s} coupling is privileged anti-parallel is 'discouraged'
- The nucleonic effective mass m^* is necessarily position dependent giving rise to two 'potentials': spin-orbit and linear-momentum ones

Remarks:

The spin-orbit potential is in fact the spin-orbit kinetic-energy - and moreover, there must exist also a linear momentum potential whose presence is seldom discussed in the published works. It must be there because of the hermiticity of the Hamiltonian.

What Did We Learn About Nuclear Structure?

- There exist Momentum and Spin-Orbit 'potentials'. Their origin:

$$\text{Kinetic Energy Operator: } \hat{t} \equiv (\vec{\sigma} \cdot \hat{p}) \frac{1}{2m^*(\vec{r})} (\vec{\sigma} \cdot \hat{p})$$

- Tangential orbits couple with the spin: bouncing-ball ones do not!
- Parallel $\vec{\ell}$ and \vec{s} coupling is privileged anti-parallel is 'discouraged'
- The nucleonic effective mass m^* is necessarily position dependent giving rise to two 'potentials': spin-orbit and linear-momentum ones

Remarks:

The spin-orbit potential is in fact the spin-orbit kinetic-energy - and moreover, there must exist also a linear momentum potential whose presence is seldom discussed in the published works. It must be there because of the hermiticity of the Hamiltonian.

What Did We Learn About Nuclear Structure?

- There exist Momentum and Spin-Orbit 'potentials'. Their origin:

$$\text{Kinetic Energy Operator: } \hat{t} \equiv (\vec{\sigma} \cdot \hat{p}) \frac{1}{2m^*(\vec{r})} (\vec{\sigma} \cdot \hat{p})$$

- Tangential orbits couple with the spin: bouncing-ball ones do not!
- Parallel $\vec{\ell}$ and \vec{s} coupling is privileged anti-parallel is 'discouraged'
- The nucleonic effective mass m^* is necessarily position dependent giving rise to two 'potentials': spin-orbit and linear-momentum ones

Remarks:

The spin-orbit potential is in fact the spin-orbit kinetic-energy - and moreover, there must exist also a linear momentum potential whose presence is seldom discussed in the published works. It must be there because of the hermiticity of the Hamiltonian.

The Kinetic Energy Operator of the Dirac Form

- And more precisely: Explicit form of the generalised kinetic energy:

$$(\vec{\sigma} \cdot \hat{p}) \frac{1}{2m^*(\vec{r})} (\vec{\sigma} \cdot \hat{p}) = \frac{1}{2m^*(\vec{r})} \hat{p}^2 + \underbrace{\hat{V}_{\hat{p}}(\vec{r}, \hat{p})}_{(r,p)\text{-dependent}} + \underbrace{\hat{V}_{so}(\vec{r}, \hat{p}, \hat{s})}_{(r,p,s)\text{-dependent}}$$

- Above, the two "potentials" are calculated to be

$$\hat{V}_{so}(\vec{r}, \hat{p}, \hat{s}) \equiv \frac{2}{[2m^*(\vec{r})]^2} \{ [\vec{\nabla} (\underbrace{\hat{V}(\vec{r}) - \hat{S}(\vec{r})}_{\sim 750 \text{ MeV}})] \wedge \hat{p} \} \cdot \hat{s} \sim \frac{1}{r} \frac{dU}{dr} (\hat{\ell} \cdot \hat{s}) \Big|_{\text{sphere}}$$

and

$$\hat{V}_{\hat{p}}(\vec{r}, \hat{p}) \equiv \frac{-i\hbar}{[2m^*(\vec{r})]^2} [\vec{\nabla} (\underbrace{\hat{V}(\vec{r}) - \hat{S}(\vec{r})}_{\sim 750 \text{ MeV}})] \cdot \hat{p} \sim \frac{1}{r} \frac{dU}{dr} \cdot \hat{p}_r \Big|_{\text{sphere}} \sim \text{"new"}$$

The Kinetic Energy Operator of the Dirac Form

- And more precisely: Explicit form of the generalised kinetic energy:

$$(\vec{\sigma} \cdot \hat{p}) \frac{1}{2m^*(\vec{r})} (\vec{\sigma} \cdot \hat{p}) = \frac{1}{2m^*(\vec{r})} \hat{p}^2 + \underbrace{\hat{V}_{\hat{p}}(\vec{r}, \hat{p})}_{(r,p)\text{-dependent}} + \underbrace{\hat{V}_{so}(\vec{r}, \hat{p}, \hat{s})}_{(r,p,s)\text{-dependent}}$$

- Above, the two "potentials" are calculated to be

$$\hat{V}_{so}(\vec{r}, \hat{p}, \hat{s}) \equiv \frac{2}{[2m^*(\vec{r})]^2} \{ [\vec{\nabla} (\underbrace{\hat{V}(\vec{r}) - \hat{S}(\vec{r})}_{\sim 750 \text{ MeV}})] \wedge \hat{p} \} \cdot \hat{s} \sim \frac{1}{r} \frac{dU}{dr} (\hat{\ell} \cdot \hat{s}) \Big|_{\text{sphere}}$$

and

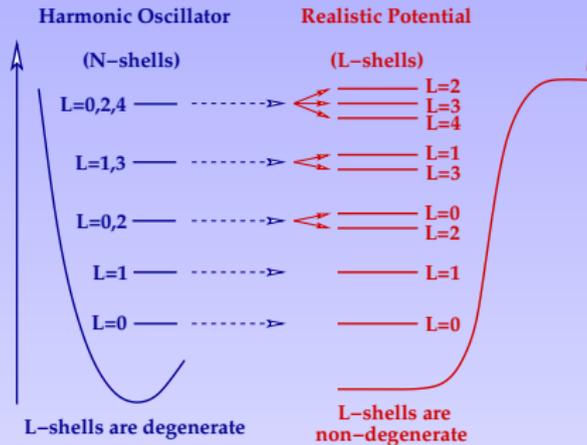
$$\hat{V}_{\hat{p}}(\vec{r}, \hat{p}) \equiv \frac{-i\hbar}{[2m^*(\vec{r})]^2} [\vec{\nabla} (\underbrace{\hat{V}(\vec{r}) - \hat{S}(\vec{r})}_{\sim 750 \text{ MeV}})] \cdot \hat{p} \sim \frac{1}{r} \frac{dU}{dr} \cdot \hat{p}_r \Big|_{\text{sphere}} \sim \text{"new"}$$

Part III

Mean-Field Theory: Link with Experiment

Quantum Mechanics: Memory Refreshing Facts

- In the harmonic-oscillator case there exists a special symmetry that makes L-shells degenerate; for realistic nuclear potentials this symmetry does not hold anymore. Observe: N-shells and L-shells:



- Levels E_{LM} are M-degenerate, $E_{LM} = E_{LM'}$ ($-L \leq M, M' \leq +L$). This 'magnetic' degeneracy results from the spherical symmetry

Quantum Mechanics: Memory Refreshing Facts (II)

- It is well known from elementary quantum mechanics that for hamiltonians with spherical symmetry:

$$[\hat{H}, \hat{j}^2] = 0, \quad [\hat{H}, \hat{j}_z] = 0, \quad [\hat{H}, \hat{\ell}^2] = 0, \quad [\hat{H}, \hat{s}] = 0, \quad \hat{j} \equiv \hat{l} + \hat{s}$$

- The solutions are simultaneous eigenstates of \hat{H} , \hat{j}^2 , \hat{j}_z and $\hat{\ell}^2$

$$\hat{H}\psi_{n;j\ell m} = E_{n;j\ell m}\psi_{n;j\ell m}$$

- This allows to introduce the spectroscopic notation based on:

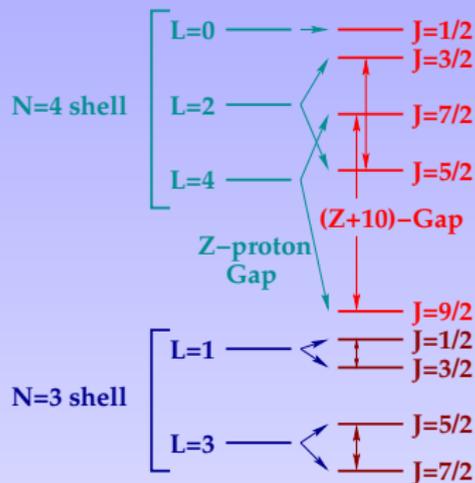
$$\ell = \left. \begin{array}{cccccccc} 0 & 1 & 2 & 3 & 4 & 5 & 6 & \dots \\ s & p & d & f & g & h & i & \dots \end{array} \right\} n_r \ell_j$$

for instance $1s_{1/2}$, $2d_{5/2}$, $3p_{1/2}$, $1i_{13/2}$ etc.

Spin-Orbit Splitting and Nobel Prize

- Left: results with no-spin-orbit potential; Right: with the spin-orbit potential
- Vertical arrows denote the so-called spin-orbit splitting
- In atomic nuclei this splitting is very large, ejecting the lowest energy, the highest-J orbital, to the (N-1st)-shell below
- The ejected orbitals are called 'intruders'; for their discovery M. Göppert-Mayer and J. Jensen received the Nobel Prize in 1963

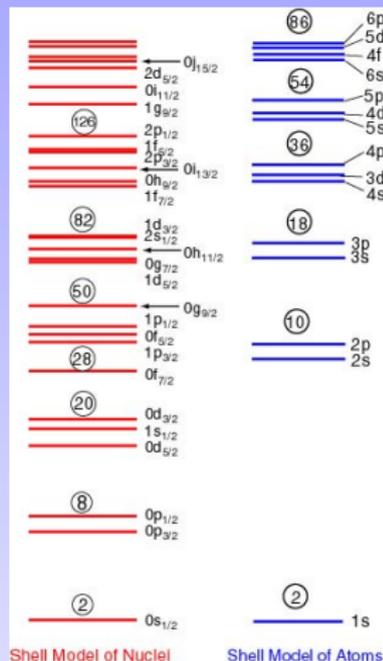
No Spin-Orbit Interaction Spin-Orbit Interaction



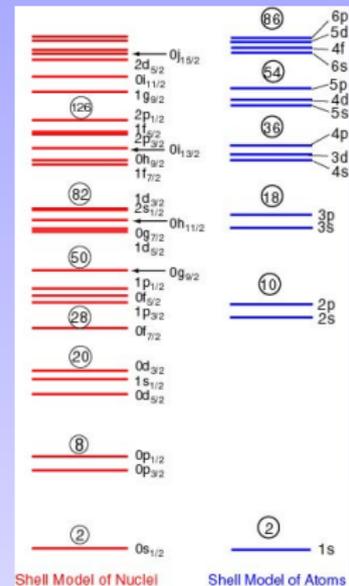
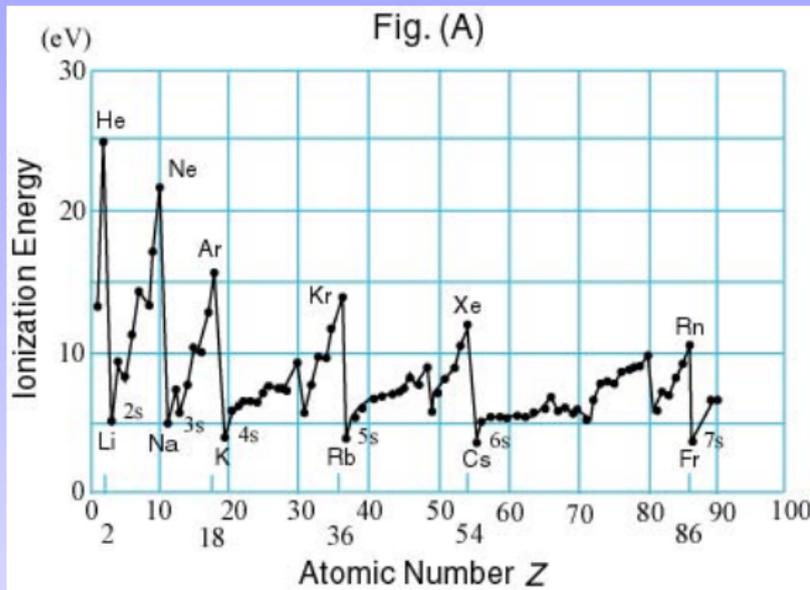
Spin-Orbit Splitting Mechanism

Spin-Orbit Splitting and Nobel Prize

- At the discovery time, the mechanism of spin-orbit splitting was not trivial at all: observe the differences between nuclear and atomic cases
- The 1963 Nobel Prize for explanation of the nuclear Göppert-Mayer and Johannes Jensen [together with Eugene Wigner]
- Today we know that the spin-orbit potential describing the magic numbers is in fact spin-orbit kinetic energy
- Gaps in the spectra are measurable quantities; measurements fully confirm the discussed mechanism



Energy Gaps and Experimental Confirmation



- Correlation: Maxima in ionization energy and the big gaps

Part IV

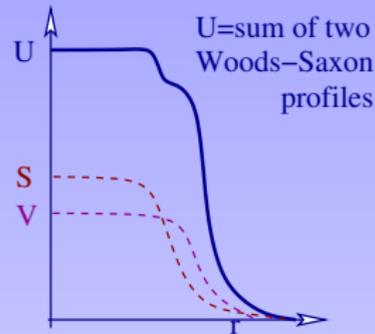
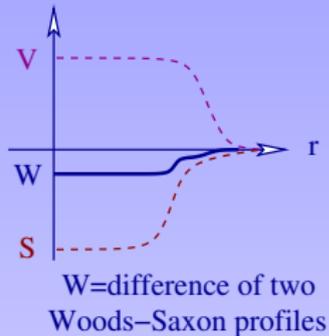
Characteristic Functional Dependencies - or: Who Is Who?

- We will follow literally the **least action procedure** now

- We will follow literally the **least action procedure** now
- **Instead of solving** the RMF equations self-consistently, **we will parametrize them** using realistic Woods-Saxon form-factors for $S(\vec{r})$ and $V(\vec{r})$ - with great advantages:

- We will follow literally the **least action procedure** now
- **Instead of solving** the RMF equations self-consistently, **we will parametrize them** using realistic Woods-Saxon form-factors for $S(\vec{r})$ and $V(\vec{r})$ - with great advantages:
- Mathematical simplicity when examining qualitatively the parametric dependencies - and the symmetry issues

Remarks about Some Functional Dependencies



$$\hat{H}_{\text{int}} = \underbrace{\hat{S} + \hat{V}}_{\hat{W} \sim -50 \text{ MeV}} + \underbrace{\hat{V}_{\text{so}} + \hat{V}_p}_{\text{surface peaked}}$$

Conclusion: In the simplest picture the gradient contributions from the V_{so} and V_p potentials have **not** a single Woods-Saxon but a double Woods-Saxon profile \rightarrow Importance of knowing who-is-who.

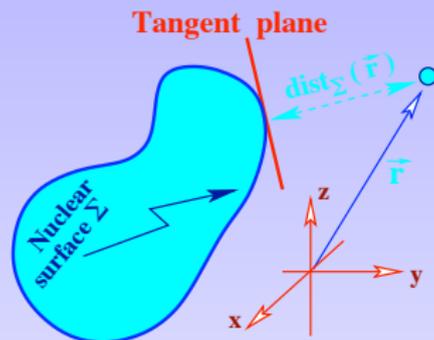
Geometry of the Deformed Woods-Saxon Potential

- Nuclear surface Σ is parametrized in terms of spherical harmonics:

$$R(\vartheta, \varphi) = c(\{\alpha_{\lambda\mu}\}) [r_0 * A^{1/3}] \{1 + \sum \sum \alpha_{\lambda\mu} Y_{\lambda,\mu}(\vartheta, \varphi)\}$$

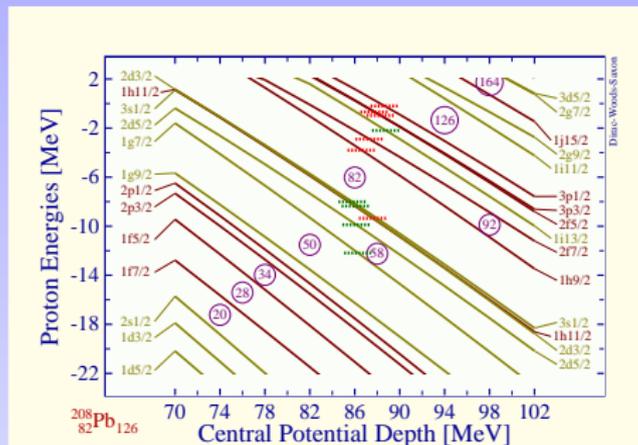
- Geometrical interpretation of the distance function and related deformed Woods-Saxon potential:

$$V_{WS}(\vec{r}; r_0, a, V_0) = \frac{V_0}{1 + \exp[\text{dist}_{\Sigma(r_0)}(\vec{r})/a]}$$



Central-Potential Depth-Parameter

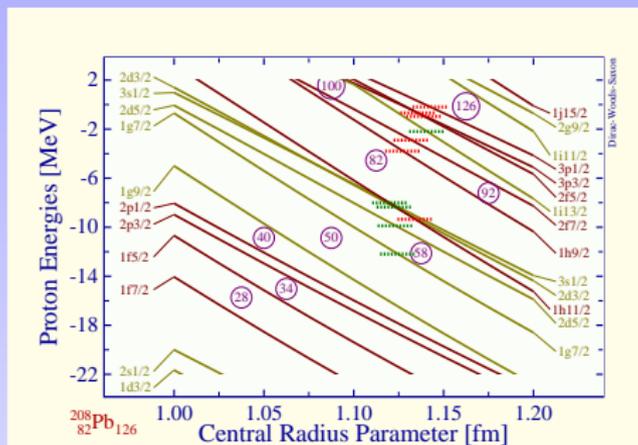
- Nuclear Dirac Woods-Saxon potentials have a very important geometrical feature - each parameter dominates a certain mechanism



Mechanism No. 1: The potential depth parameter is primarily responsible for the nucleonic binding energies. Observe nearly ideal description of the experimental levels: here in ^{208}Pb - as well as nearly linear dependence of the energies on V_0 .

Central-Potential Radius-Parameter

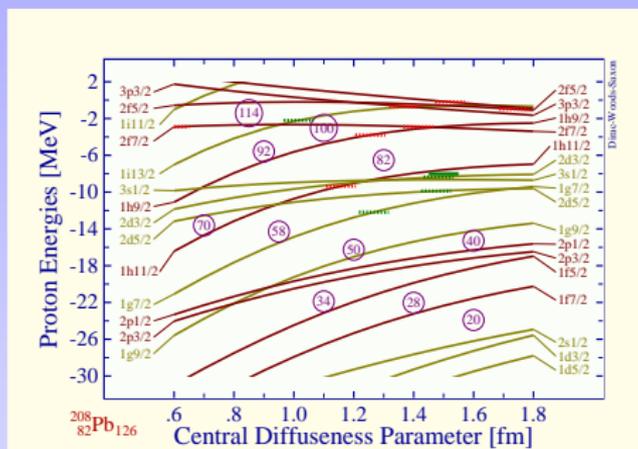
- The nucleonic binding energies vary nearly linearly in function of the central radius (although some levels may cross)



Mechanism No. 2: The central-radius parameter is primarily responsible for the nucleonic binding energies but also for the calculated values of the r.m.s. radii. Here: ^{208}Pb .

Central-Potential Diffuseness-Parameter

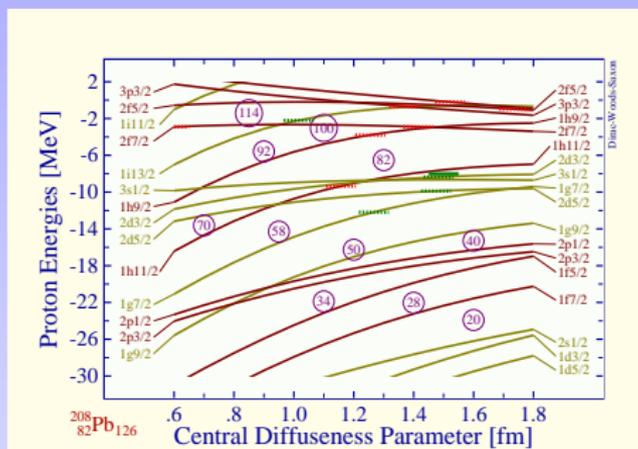
- The central diffuseness parameter is the only one that can clearly distinguish among the eigen-energies of various quantum numbers



Mechanism No. 3: Observe the existence of families of nearly parallel lines which are characterized by common ℓ quantum number

Central-Potential Diffuseness-Parameter

- The central diffuseness parameter is the only one that can clearly distinguish among the eigen-energies of various quantum numbers



Mechanism No. 3: Observe the existence of families of nearly parallel lines which are characterized by common ℓ quantum number: These are spin-orbit partners.

Mean-Field Geometry: Spin-Orbit Potential

The spherically-symmetric W-S spin-orbit form-factor has the form:

$$\begin{aligned}
 V_{\text{WS}}^{\text{SO}}(\mathbf{r}; \lambda, \mathbf{r}_{\text{SO}}, \mathbf{a}_{\text{SO}}) &\stackrel{\text{df}}{=} \frac{\lambda}{r} \frac{d}{dr} \cdot \left\{ \frac{1}{1 + \exp[(r - R_{\text{SO}})/a_{\text{SO}}]} \right\} \\
 &= \frac{\lambda}{2a_{\text{SO}}} \frac{1}{r} \left\{ \frac{1}{1 + \cosh[(r - R_{\text{SO}})/a_{\text{SO}}]} \right\}
 \end{aligned}$$

- λ - spin-orbit strength parameter
- \mathbf{r}_{SO} - spin-orbit radius parameter
- \mathbf{a}_{SO} - spin-orbit diffuseness parameter

Geometry: Radial Structure - Spin Orbit Potential

- The central diffuseness parameter is the only one that can clearly distinguish among the eigen-energies of various quantum numbers
- The matrix elements of the spin-orbit potential are calculated through the integration of the functions of general structure

$$\left(\begin{array}{c} \vec{r} \\ - \\ r \end{array} \right) \frac{df(r)}{dr} \vec{\ell} \cdot \vec{s} \times r^2 \quad \text{where} \quad f(r) = \frac{1}{1 + \exp\left[\underbrace{(r - r_{\ell s})/a_{\ell s}}_x\right]}$$

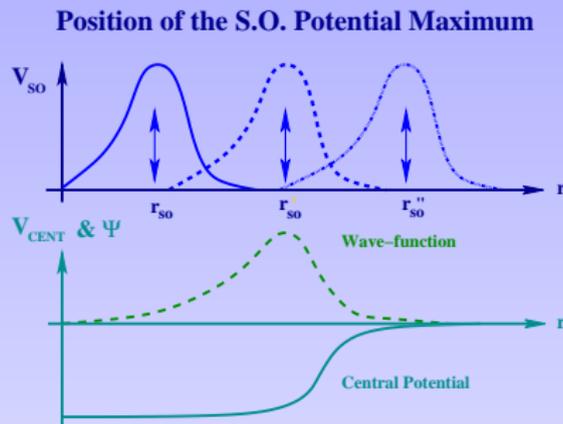
and the derivatives

$$\left| \frac{df(x)}{dx} \right| = \frac{1}{4} \left[\frac{e^{-x/2}}{\cosh\left(\frac{x}{2}\right)} \right] \times \left[1 + \tanh\left(\frac{x}{2}\right) \right]$$

- **Conclusion:** The function of interest (spin-orbit potential) has always one extremum close to $x \sim 0$ or, in other words, when $r \sim r_{\ell s}$

Consequences of Single Maximum Mechanism

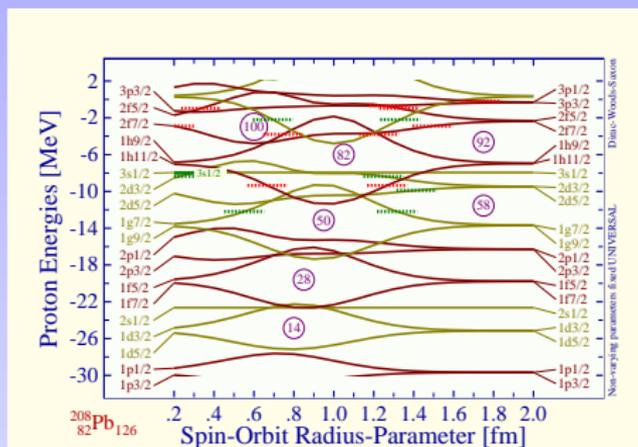
- Consider fixed central potential and let vary only one spin-orbit parameter viz. $r_{\ell S}$ so that $r_{\ell S} < r'_{\ell S}$, next $r'_{\ell S} < r''_{\ell S}$, etc. We have:



The radial wave function of a state is bound by the central potential whose geometry is considered fixed. Shifting the position of the maximum of the spin-orbit potential will first cause increasing of the integral (and thus the matrix elements), then a decrease.

Geometrical Consequences: Two Physical Solutions

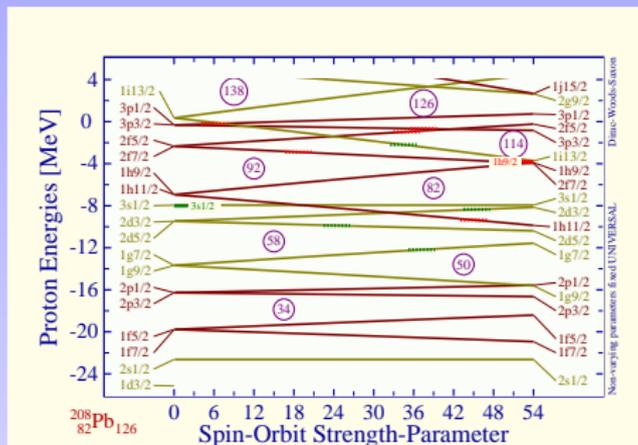
- Observe an increase of the spin-orbit splitting first, then a decrease and a characteristic 'bubble' structures in all the $\ell \neq 0$ solutions



Mechanism No. 4: A structure with two solutions: The 'standard' one (with the s.o. radius parameter $r_{s.o.}^o \sim 1.25$ Fm) - and the 'compact' one $r_{s.o.}^o \sim 0.75$ Fm

Nuclear Mean-Field Geometry: Spin-Orbit Strength

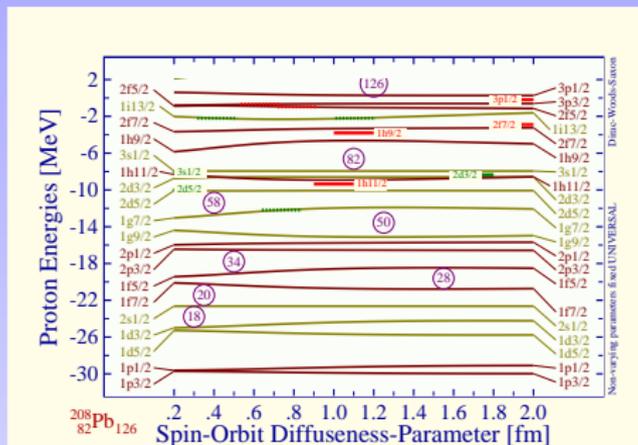
Single nucleon levels in function of s - o strength parameter



Mechanism No. 5: Observe a clear straight-line pattern (linear λ -dependence) of energies and the opening-angles increasing with ℓ of the corresponding orbitals

Nuclear Mean-Field Geometry: Spin-Orbit Diffuseness

Single nucleon levels in function of s - o diffuseness parameter



Mechanism No. 6: Observe a regular increase of the spin-orbit splitting with a_{so}

Part V

Nuclear Relativistic Mean Field Theory: Role of the $SU(2)$ Symmetries

Spin, Pseudo-Spin and Dirac Hamiltonian

- Let us introduce the helicity \hat{h} as the spin-projection on the \vec{p} -axis

$$\hat{h} \stackrel{df}{=} \vec{\sigma} \cdot \hat{p}; \quad \hat{p} \equiv \vec{p}/\|\vec{p}\|$$

Spin, Pseudo-Spin and Dirac Hamiltonian

- Let us introduce the helicity \hat{h} as the spin-projection on the \vec{p} -axis

$$\hat{h} \stackrel{df}{=} \vec{\sigma} \cdot \hat{p}; \quad \hat{p} \equiv \vec{p}/\|\vec{p}\|$$

- Define pseudo-spin operator, \tilde{s} :

$$\boxed{\tilde{s}_i \equiv (\vec{\sigma} \cdot \hat{p}) s_i (\vec{\sigma} \cdot \hat{p})} \quad \text{and} \quad \hat{S}_i \equiv \begin{pmatrix} \tilde{s}_i & 0 \\ 0 & s_i \end{pmatrix}$$

Spin, Pseudo-Spin and Dirac Hamiltonian

- Let us introduce the helicity \hat{h} as the spin-projection on the \vec{p} -axis

$$\hat{h} \stackrel{df}{=} \vec{\sigma} \cdot \hat{p}; \quad \hat{p} \equiv \vec{p}/\|\vec{p}\|$$

- Define pseudo-spin operator, \tilde{s} :

$$\boxed{\tilde{s}_i \equiv (\vec{\sigma} \cdot \hat{p}) s_i (\vec{\sigma} \cdot \hat{p})} \quad \text{and} \quad \hat{S}_i \equiv \begin{pmatrix} \tilde{s}_i & 0 \\ 0 & s_i \end{pmatrix}$$

- Recall Dirac equation for nucleons with meson-transmitted \hat{V} and \hat{S}

$$\hat{\mathcal{H}}_D = c\vec{\alpha} \cdot \vec{p} + \hat{V}(\vec{r}) \mathbb{1}_4 + \beta [m_0 c^2 + \hat{S}(\vec{r})]$$

Spin, Pseudo-Spin and Dirac Hamiltonian

- Let us introduce the helicity \hat{h} as the spin-projection on the \vec{p} -axis

$$\hat{h} \stackrel{df}{=} \vec{\sigma} \cdot \hat{p}; \quad \hat{p} \equiv \vec{p}/\|\vec{p}\|$$

- Define pseudo-spin operator, \tilde{s} :

$$\boxed{\tilde{s}_i \equiv (\vec{\sigma} \cdot \hat{p}) s_i (\vec{\sigma} \cdot \hat{p})} \quad \text{and} \quad \hat{S}_i \equiv \begin{pmatrix} \tilde{s}_i & 0 \\ 0 & s_i \end{pmatrix}$$

- Recall Dirac equation for nucleons with meson-transmitted \hat{V} and \hat{S}

$$\hat{\mathcal{H}}_D = c\vec{\alpha} \cdot \vec{p} + \hat{V}(\vec{r}) \mathbb{1}_4 + \beta [m_0 c^2 + \hat{S}(\vec{r})]$$

Explicitly:

$$\hat{\mathcal{H}}_D = \begin{pmatrix} +[m_0 c^2 + (\hat{S} + \hat{V})] \mathbb{1}_2 & , & c(\vec{\sigma} \cdot \vec{p}) \\ c(\vec{\sigma} \cdot \vec{p}) & , & -[m_0 c^2 + (\hat{S} - \hat{V})] \mathbb{1}_2 \end{pmatrix}$$

A New Nuclear Symmetry: Pseudo-Spin Symmetry

- Calculating the commutator shows that it 'almost' vanishes:

$$[\hat{\mathcal{H}}_D, \hat{S}_i] = \left[\begin{pmatrix} \hat{\mathcal{H}}_D^{11} & \hat{\mathcal{H}}_D^{12} \\ \hat{\mathcal{H}}_D^{21} & \hat{\mathcal{H}}_D^{22} \end{pmatrix}, \begin{pmatrix} \hat{S}_i^{11} & \hat{S}_i^{12} \\ \hat{S}_i^{21} & \hat{S}_i^{22} \end{pmatrix} \right] = \begin{pmatrix} \hat{X} \neq 0 & , & 0 \\ 0 & , & 0 \end{pmatrix}$$

where

$$\hat{X} \sim [\hat{S} + \hat{V}, (\vec{\sigma} \cdot \vec{p}) s_j (\vec{\sigma} \cdot \vec{p})] \neq \hat{0} \quad \text{unless} \quad \hat{S} + \hat{V} = 0$$

A New Nuclear Symmetry: Pseudo-Spin Symmetry

- Calculating the commutator shows that it 'almost' vanishes:

$$[\hat{\mathcal{H}}_D, \hat{S}_i] = \left[\begin{pmatrix} \hat{\mathcal{H}}_D^{11} & \hat{\mathcal{H}}_D^{12} \\ \hat{\mathcal{H}}_D^{21} & \hat{\mathcal{H}}_D^{22} \end{pmatrix}, \begin{pmatrix} \hat{S}_i^{11} & \hat{S}_i^{12} \\ \hat{S}_i^{21} & \hat{S}_i^{22} \end{pmatrix} \right] = \begin{pmatrix} \hat{X} \neq 0 & , & 0 \\ 0 & , & 0 \end{pmatrix}$$

where

$$\hat{X} \sim [\hat{S} + \hat{V}, (\vec{\sigma} \cdot \vec{p}) s_j (\vec{\sigma} \cdot \vec{p})] \neq \hat{0} \quad \text{unless} \quad \hat{S} + \hat{V} = 0$$

- Discovering a New Symmetry (or 'approximately' discovering?)

A New Nuclear Symmetry: Pseudo-Spin Symmetry

- Calculating the commutator shows that it 'almost' vanishes:

$$[\hat{\mathcal{H}}_D, \hat{S}_i] = \left[\begin{pmatrix} \hat{\mathcal{H}}_D^{11} & \hat{\mathcal{H}}_D^{12} \\ \hat{\mathcal{H}}_D^{21} & \hat{\mathcal{H}}_D^{22} \end{pmatrix}, \begin{pmatrix} \hat{S}_i^{11} & \hat{S}_i^{12} \\ \hat{S}_i^{21} & \hat{S}_i^{22} \end{pmatrix} \right] = \begin{pmatrix} \hat{X} \neq 0 & , & 0 \\ 0 & , & 0 \end{pmatrix}$$

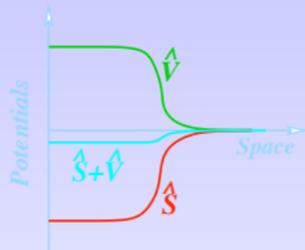
where

$$\hat{X} \sim [\hat{S} + \hat{V}, (\vec{\sigma} \cdot \vec{p}) s_j (\vec{\sigma} \cdot \vec{p})] \neq \hat{0} \quad \text{unless} \quad \hat{S} + \hat{V} = 0$$

- Discovering a New Symmetry (or 'approximately' discovering?)
- Exact symmetry limit requires that

$$\hat{S} + \hat{V} = 0$$

but then our Universe disappears!



We Begin to Learn Something Important...

- There exist an operator \hat{S} depending on spin and on pseudospin. It (almost) commutes with the Hamiltonian of a deformed nucleus.

We Begin to Learn Something Important...

- There exist an operator \hat{S} depending on spin and on pseudospin. It (almost) commutes with the Hamiltonian of a deformed nucleus.
- The non-zero term vanishes only when nucleon binding vanishes, or else, alternatively, when the nuclear potential is getting constant

We Begin to Learn Something Important...

- There exist an operator \hat{S} depending on spin and on pseudospin. It (almost) commutes with the Hamiltonian of a deformed nucleus.
- The non-zero term vanishes only when nucleon binding vanishes, or else, alternatively, when the nuclear potential is getting constant
- But in fact the nuclear potentials are constant inside of nuclei (!!!)

We Begin to Learn Something Important...

- There exist an operator \hat{S} depending on spin and on pseudospin. It (almost) commutes with the Hamiltonian of a deformed nucleus.
- The non-zero term vanishes only when nucleon binding vanishes, or else, alternatively, when the nuclear potential is getting constant
- But in fact the nuclear potentials are constant inside of nuclei (!!!)
- The heavier the nucleus the better the symmetry (flatter $S + V$)

We Begin to Learn Something Important...

- There exist an operator \hat{S} depending on spin and on pseudospin. It (almost) commutes with the Hamiltonian of a deformed nucleus.
- The non-zero term vanishes only when nucleon binding vanishes, or else, alternatively, when the nuclear potential is getting constant
- But in fact the nuclear potentials are constant inside of nuclei (!!!)
- The heavier the nucleus the better the symmetry (flatter $S + V$)
- The symmetry gets exact when Surf./Vol. $\rightarrow 0 \Rightarrow$ Heavy Nuclei

We Begin to Learn Something Important...

- There exist an operator \hat{S} depending on spin and on pseudospin. It (almost) commutes with the Hamiltonian of a deformed nucleus.
- The non-zero term vanishes only when nucleon binding vanishes, or else, alternatively, when the nuclear potential is getting constant
- But in fact the nuclear potentials are constant inside of nuclei (!!!)
- The heavier the nucleus the better the symmetry (flatter $S + V$)
- The symmetry gets exact when Surf./Vol. $\rightarrow 0 \Rightarrow$ Heavy Nuclei
- For unfortunate historical reasons we call it *Pseudo-Spin Symmetry*
- there is nothing 'less valuable' in the 'p s e u d o - SU_2 ' symmetry

Nuclear Mean Field and $SU_2 \times SU_2$ Symmetry

- We defined pseudospin using spin projection $\tilde{s}_i \equiv (\vec{\sigma} \cdot \hat{p}) s_i (\vec{\sigma} \cdot \hat{p})$

Nuclear Mean Field and $SU_2 \times SU_2$ Symmetry

- We defined pseudospin using spin projection $\tilde{s}_i \equiv (\vec{\sigma} \cdot \hat{p}) s_i (\vec{\sigma} \cdot \hat{p})$
- We have formally introduced helicity with its algebraic properties

$$\hat{h} \equiv \vec{\sigma} \cdot \hat{p} \rightarrow \hat{h}^\dagger = \hat{h}; \quad \hat{h} = \hat{h}^{-1}; \quad \hat{h}^\dagger = \hat{h}^{-1}$$

Nuclear Mean Field and $SU_2 \times SU_2$ Symmetry

- We defined pseudospin using spin projection $\tilde{s}_i \equiv (\vec{\sigma} \cdot \hat{p}) s_i (\vec{\sigma} \cdot \hat{p})$
- We have formally introduced helicity with its algebraic properties

$$\hat{h} \equiv \vec{\sigma} \cdot \hat{p} \rightarrow \hat{h}^\dagger = \hat{h}; \quad \hat{h} = \hat{h}^{-1}; \quad \hat{h}^\dagger = \hat{h}^{-1}$$

- From definition $\tilde{s}_j = \hat{h} s_j \hat{h}^{-1}$ and from unitarity of \hat{h} it follows that

$$[s_j, s_k] = i \varepsilon_{jkl} s_l \rightarrow [\tilde{s}_j, \tilde{s}_k] = i \varepsilon_{jkl} \tilde{s}_l \rightarrow [\hat{S}_j, \hat{S}_k] = i \varepsilon_{jkl} \hat{S}_l$$

Nuclear Mean Field and $SU_2 \times SU_2$ Symmetry

- We defined pseudospin using spin projection $\tilde{s}_i \equiv (\vec{\sigma} \cdot \hat{p}) s_i (\vec{\sigma} \cdot \hat{p})$
- We have formally introduced helicity with its algebraic properties

$$\hat{h} \equiv \vec{\sigma} \cdot \hat{p} \rightarrow \hat{h}^\dagger = \hat{h}; \quad \hat{h} = \hat{h}^{-1}; \quad \hat{h}^\dagger = \hat{h}^{-1}$$

- From definition $\tilde{s}_j = \hat{h} s_j \hat{h}^{-1}$ and from unitarity of \hat{h} it follows that

$$[s_j, s_k] = i \varepsilon_{jkl} s_l \rightarrow [\tilde{s}_j, \tilde{s}_k] = i \varepsilon_{jkl} \tilde{s}_l \rightarrow [\hat{S}_j, \hat{S}_k] = i \varepsilon_{jkl} \hat{S}_l$$

- Therefore: Operators $\{s_j\}$, $\{\tilde{s}_j\}$ and $\{\hat{S}_j\}$ are generators of an SU_2

Nuclear Mean Field and $SU_2 \times SU_2$ Symmetry

- We defined pseudospin using spin projection $\tilde{s}_i \equiv (\vec{\sigma} \cdot \hat{p}) s_i$ ($\vec{\sigma} \cdot \hat{p}$)
- We have formally introduced helicity with its algebraic properties

$$\hat{h} \equiv \vec{\sigma} \cdot \hat{p} \rightarrow \hat{h}^\dagger = \hat{h}; \quad \hat{h} = \hat{h}^{-1}; \quad \hat{h}^\dagger = \hat{h}^{-1}$$

- From definition $\tilde{s}_j = \hat{h} s_j \hat{h}^{-1}$ and from unitarity of \hat{h} it follows that

$$[s_j, s_k] = i \varepsilon_{jkl} s_l \rightarrow [\tilde{s}_j, \tilde{s}_k] = i \varepsilon_{jkl} \tilde{s}_l \rightarrow [\hat{S}_j, \hat{S}_k] = i \varepsilon_{jkl} \hat{S}_l$$

- Therefore: Operators $\{s_j\}$, $\{\tilde{s}_j\}$ and $\{\hat{S}_j\}$ are generators of an SU_2
- It follows that at the exact symmetry limit the Hamiltonian is invariant with respect to $SU_2 \otimes SU_2$. Nature playing hide-and-seek?

Nuclear Mean Field and $SU_2 \times SU_2$ Symmetry

- We defined pseudospin using spin projection $\tilde{s}_i \equiv (\vec{\sigma} \cdot \hat{p}) s_i$ ($\vec{\sigma} \cdot \hat{p}$)
- We have formally introduced helicity with its algebraic properties

$$\hat{h} \equiv \vec{\sigma} \cdot \hat{p} \rightarrow \hat{h}^\dagger = \hat{h}; \quad \hat{h} = \hat{h}^{-1}; \quad \hat{h}^\dagger = \hat{h}^{-1}$$

- From definition $\tilde{s}_j = \hat{h} s_j \hat{h}^{-1}$ and from unitarity of \hat{h} it follows that

$$[s_j, s_k] = i \varepsilon_{jkl} s_l \rightarrow [\tilde{s}_j, \tilde{s}_k] = i \varepsilon_{jkl} \tilde{s}_l \rightarrow [\hat{S}_j, \hat{S}_k] = i \varepsilon_{jkl} \hat{S}_l$$

- Therefore: Operators $\{s_j\}$, $\{\tilde{s}_j\}$ and $\{\hat{S}_j\}$ are generators of an SU_2
- It follows that at the exact symmetry limit the Hamiltonian is invariant with respect to $SU_2 \otimes SU_2$. Nature playing hide-and-seek?
[This happens if and only if there is no nuclear binding: $(S+V) \rightarrow 0$]

Dirac Equation - Exact Symmetry Limit: $\hat{S} + \hat{V} \rightarrow 0$

- The original Dirac equation is equivalent to two following ones:

$$\left\{ \begin{array}{l} \hat{\mathcal{H}}^\xi \xi = \mathcal{E} \xi; \\ \hat{\mathcal{H}}^\xi \equiv (c \vec{\sigma} \cdot \vec{p}) \frac{1}{[\mathcal{E} + m_0 c^2 + (\hat{S} - \hat{V})]} (c \vec{\sigma} \cdot \vec{p}) + [m_0 c^2 + (\hat{S} + \hat{V})] \end{array} \right. \quad \text{Here : } \hat{V} + \hat{S} \rightarrow 0$$

$$\left\{ \begin{array}{l} \hat{\mathcal{H}}^\eta \eta = \mathcal{E} \eta; \\ \hat{\mathcal{H}}^\eta \equiv (c \vec{\sigma} \cdot \vec{p}) \frac{1}{[\mathcal{E} - m_0 c^2 - (\hat{S} + \hat{V})]} (c \vec{\sigma} \cdot \vec{p}) - [m_0 c^2 + (\hat{S} - \hat{V})] \end{array} \right. \quad \text{Here : } \hat{V} + \hat{S} \rightarrow 0$$

Dirac Equation - Exact Symmetry Limit: $\hat{S} + \hat{V} \rightarrow 0$

- The original Dirac equation is equivalent to two following ones:

$$\left\{ \begin{array}{l} \hat{\mathcal{H}}^\xi \xi = \mathcal{E} \xi; \\ \hat{\mathcal{H}}^\xi \equiv (c \vec{\sigma} \cdot \vec{p}) \frac{1}{[\mathcal{E} + m_0 c^2 + (\hat{S} - \hat{V})]} (c \vec{\sigma} \cdot \vec{p}) + [m_0 c^2 + (\hat{S} + \hat{V})] \end{array} \right. \quad \text{Here : } \hat{V} + \hat{S} \rightarrow 0$$

$$\left\{ \begin{array}{l} \hat{\mathcal{H}}^\eta \eta = \mathcal{E} \eta; \\ \hat{\mathcal{H}}^\eta \equiv (c \vec{\sigma} \cdot \vec{p}) \frac{1}{[\mathcal{E} - m_0 c^2 - (\hat{S} + \hat{V})]} (c \vec{\sigma} \cdot \vec{p}) - [m_0 c^2 + (\hat{S} - \hat{V})] \end{array} \right. \quad \text{Here : } \hat{V} + \hat{S} \rightarrow 0$$

- Case η : Since $(\vec{\sigma} \cdot \hat{p})^2 = \hat{p}^2 \rightarrow [\hat{\mathcal{H}}^\eta, s_j] = 0 \rightarrow \eta = \eta_{n,s,s_z}$

Dirac Equation - Exact Symmetry Limit: $\hat{S} + \hat{V} \rightarrow 0$

- The original Dirac equation is equivalent to two following ones:

$$\left\{ \begin{array}{l} \hat{\mathcal{H}}^\xi \xi = \mathcal{E} \xi; \\ \hat{\mathcal{H}}^\xi \equiv (c \vec{\sigma} \cdot \vec{p}) \frac{1}{[\mathcal{E} + m_0 c^2 + (\hat{S} - \hat{V})]} (c \vec{\sigma} \cdot \vec{p}) + [m_0 c^2 + (\hat{S} + \hat{V})] \end{array} \right. \quad \text{Here : } \hat{V} + \hat{S} \rightarrow 0$$

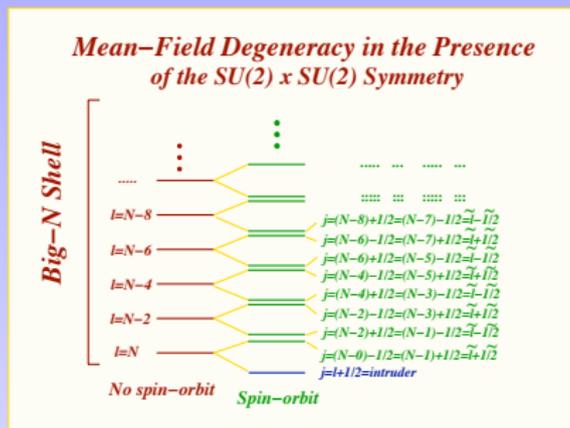
$$\left\{ \begin{array}{l} \hat{\mathcal{H}}^\eta \eta = \mathcal{E} \eta; \\ \hat{\mathcal{H}}^\eta \equiv (c \vec{\sigma} \cdot \vec{p}) \frac{1}{[\mathcal{E} - m_0 c^2 - (\hat{S} + \hat{V})]} (c \vec{\sigma} \cdot \vec{p}) - [m_0 c^2 + (\hat{S} - \hat{V})] \end{array} \right. \quad \text{Here : } \hat{V} + \hat{S} \rightarrow 0$$

- Case η : Since $(\vec{\sigma} \cdot \hat{p})^2 = \hat{p}^2 \rightarrow [\hat{\mathcal{H}}^\eta, s_j] = 0 \rightarrow \eta = \eta_{n,s,z}$

- Case ξ : One shows exactly that: $[\hat{\mathcal{H}}^\xi, \tilde{s}_j] = 0 \rightarrow \xi = \xi_{n,\tilde{s},\tilde{s}_z}$

Spin and Pseudospin - In Coexistence ???

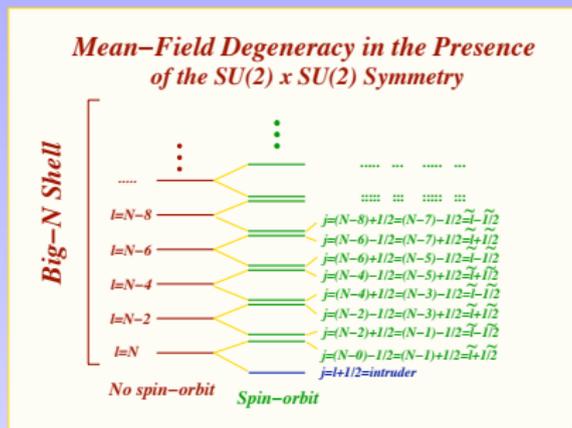
- Nuclear Spin-Orbit splitting is huge; How is it possible that pseudo-spin and pseudo-orbit splitting can be negligible at the same time?



- ◊ We begin with the numerical exercise: we set spin orbit to zero (left). Then we increase the coupling constant until the experimental conditions are met (right).

Spin and Pseudospin - In Coexistence ???

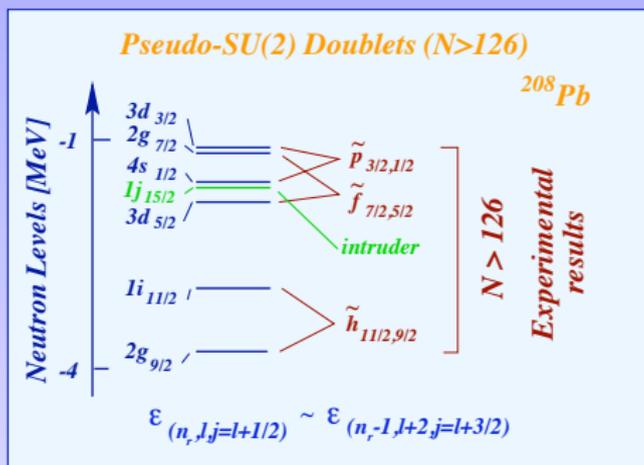
- Nuclear Spin-Orbit splitting is huge; How is it possible that pseudo-spin and pseudo-orbit splitting can be negligible at the same time?



- ◊ We begin with the numerical exercise: we set spin orbit to zero (left). Then we increase the coupling constant until the experimental conditions are met (right). *The spin-orbit splitting increases dramatically - while the pseudo-spin pseudo-orbit splitting goes to zero! And YES: all that functions indeed in coexistence!!!*

Spin and Pseudospin vs. Experiment [1]

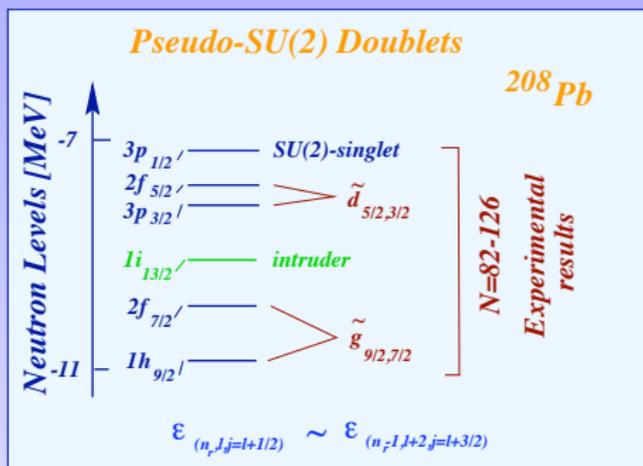
- The splitting of the orbitals (or: symmetry breaking) should be compared to numbers of the order of $\langle \hat{S} + \hat{V} \rangle \sim (-60 \text{ to } -50) \text{ MeV}$



◊ In the exact $SU_2 \otimes SU_2$ symmetry limit the orbitals marked with symbol 'tilde' should be exactly degenerate. [Here: The lighter bound ($N > 126$ particle) states]

Spin and Pseudospin vs. Experiment [2]

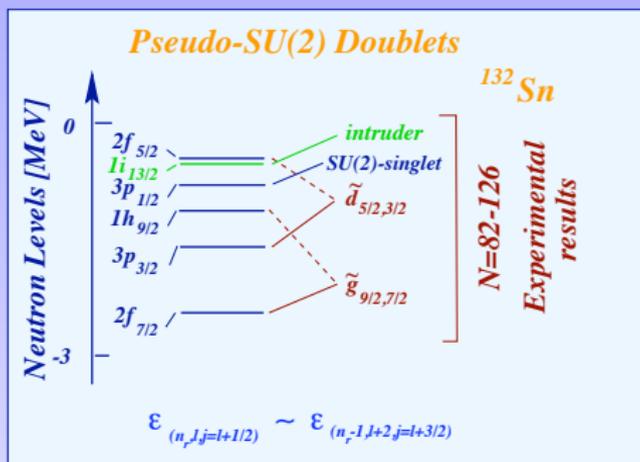
- The splitting of the orbitals (or: symmetry breaking) should be compared to numbers of the order of $\langle \hat{S} + \hat{V} \rangle \sim (-60 \text{ to } -50) \text{ MeV}$



- ◊ In the exact $SU_2 \otimes SU_2$ symmetry limit the orbitals marked with symbol 'tilde' should be exactly degenerate. [Here: The lighter bound ($N < 126$ 'hole') states]

Spin and Pseudospin vs. Experiment [3]: ^{132}Sn Case

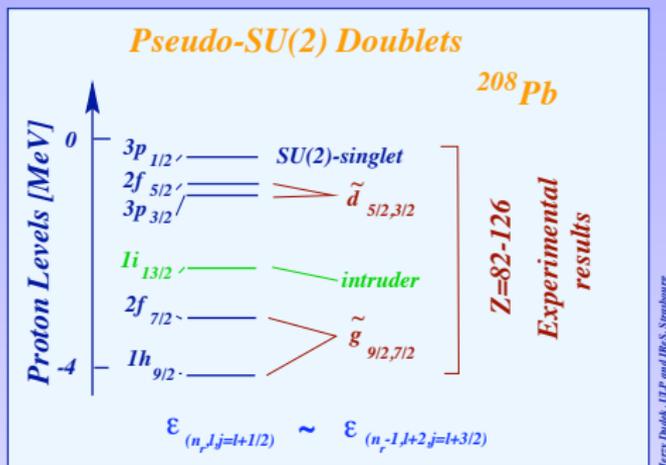
- The splitting of the orbitals (symmetry breaking) is stronger here compared to ^{208}Pb . Recall: symmetry gets exact if Surf./Vol. $\rightarrow 0$



◊ In the exact $SU_2 \otimes SU_2$ symmetry limit the orbitals marked with symbol 'tilde' should be exactly degenerate. [Here: ' ν -particles' in $N=(82-125)$ -shell in ^{132}Sn]

Spin and Pseudospin vs. Experiment [4]

- The splitting of the orbitals (symmetry breaking) for protons in ^{208}Pb is comparable to that of the neutrons ('isospin-independence')



- ◊ In the exact $SU_2 \otimes SU_2$ symmetry limit the orbitals marked with symbol 'tilde' should be exactly degenerate. [Here: proton 'particle' states, $Z=(82-126)$ shell]

Summarising: The Nuclear $SU_2 \otimes SU_2$ Symmetry

- Nuclear mean field obeys approximately an $SU_2 \otimes SU_2$ symmetry.

Summarising: The Nuclear $SU_2 \otimes SU_2$ Symmetry

- Nuclear mean field obeys approximately an $SU_2 \otimes SU_2$ symmetry.
- Symmetry operator $\hat{S}_j \equiv \begin{bmatrix} \tilde{s}_j & 0 \\ 0 & s_j \end{bmatrix}$ contains spin and pseudospin.

Summarising: The Nuclear $SU_2 \otimes SU_2$ Symmetry

- Nuclear mean field obeys approximately an $SU_2 \otimes SU_2$ symmetry.
- Symmetry operator $\hat{S}_j \equiv \begin{bmatrix} \tilde{s}_j & 0 \\ 0 & s_j \end{bmatrix}$ contains spin and pseudospin.
- Spin commutes with Dirac Hamiltonian for the 'small' component.

$$\eta \rightarrow \eta_{n,s,s_z}$$

Summarising: The Nuclear $SU_2 \otimes SU_2$ Symmetry

- Nuclear mean field obeys approximately an $SU_2 \otimes SU_2$ symmetry.
- Symmetry operator $\hat{S}_j \equiv \begin{bmatrix} \tilde{s}_j & 0 \\ 0 & s_j \end{bmatrix}$ contains spin and pseudospin.
- Spin commutes with Dirac Hamiltonian for the 'small' component.

$$\eta \rightarrow \eta_{n,s,s_z}$$

- Similarly, pseudo-spin commutes with Dirac Hamiltonian for the 'grand' component:

$$\xi \rightarrow \xi_{n,\tilde{s},\tilde{s}_z}$$

Summarising: The Nuclear $SU_2 \otimes SU_2$ Symmetry

- Nuclear mean field obeys approximately an $SU_2 \otimes SU_2$ symmetry.
- Symmetry operator $\hat{S}_j \equiv \begin{bmatrix} \tilde{s}_j & 0 \\ 0 & s_j \end{bmatrix}$ contains spin and pseudospin.
- Spin commutes with Dirac Hamiltonian for the 'small' component.

$$\eta \rightarrow \eta_{n,s,s_z}$$

- Similarly, pseudo-spin commutes with Dirac Hamiltonian for the 'grand' component:

$$\xi \rightarrow \xi_{n,\tilde{s},\tilde{s}_z}$$

- Consequently: spin *decouples* from the orbital motion for $\eta \rightarrow \dots$ and pseudo-spin *decouples* from the orbital motion for ξ (!!!)

Summarising: The Nuclear $SU_2 \otimes SU_2$ Symmetry

- Nuclear mean field obeys approximately an $SU_2 \otimes SU_2$ symmetry.
- Symmetry operator $\hat{S}_j \equiv \begin{bmatrix} \tilde{s}_j & 0 \\ 0 & s_j \end{bmatrix}$ contains spin and pseudospin.
- Spin commutes with Dirac Hamiltonian for the 'small' component.

$$\eta \rightarrow \eta_{n,s,s_z}$$

- Similarly, pseudo-spin commutes with Dirac Hamiltonian for the 'grand' component:

$$\xi \rightarrow \xi_{n,\tilde{s},\tilde{s}_z}$$

- Consequently: spin *decouples* from the orbital motion for $\eta \rightarrow \dots$ and pseudo-spin *decouples* from the orbital motion for ξ (!!!)
- Strong spin-orbit splitting (Goeppert-Mayer, Janssen) receives a new partner: A Parallel - weak - pseudo-spin pseudo-orbit coupling!